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### **Declaration**

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Programme: MENC (Masters Electronic Systems)

Module Code: EE506

Assignment Title: EE506 Assignment

Submission Date: 24-April-2015

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Name: Ankit Bose

Date: 24-April-2015

### **Report of Suspected Plagiarism / Breach of Academic Integrity**

## EE506 Assignment

Q-1) Derive the rate equations for a laser diode.

$$1.1) \quad \frac{dN}{dt} = \frac{I}{q} - A(N - N_0)P - \frac{N}{\tau_e}$$

$$\frac{dP}{dt} = A(N - N_0)P - \frac{P}{\tau_p} + \beta \frac{N}{\tau_e}$$

The symbols in above set of equations mean the follows:-

$I$  = bias current applied to the laser.

$A$  = Coefficient of gain

$\tau_e$  = carrier lifetime

$\tau_p$  = photon lifetime

$N$  = carrier number

$P$  = photon number

$\beta$  = Spontaneous emission coupling

$N_0$  = carrier number at transparency

$\frac{dN}{dt}$  = Rate of equation for  $N$

$\frac{dP}{dt}$  = Rate of equation for  $P$

1.2) To calculate the threshold current, we assume the rate equations to be in steady state condition i.e.  $\frac{d}{dt} = 0$  no time dependency. Since the optical gain is linear with pumping, i.e. the current injected and therefore the carrier number. It is possible to approximate the gain as a linear function of the carrier.

$$G = A(N - N_0)$$

The expression for threshold current is achieved by considering that  $G_P \gg \beta \frac{N}{\tau_e}$  where  $G_P$  is stimulated emission &  $\beta \frac{N}{\tau_e}$  is spontaneous emission.

The spontaneous emission is neglected & gain becomes equal to the losses, i.e.  $A(N - N_0) = \frac{1}{\tau_p}$

$$\therefore N = N_0 + \frac{1}{A\tau_p}$$

Using the above value  $N$  in carrier rate equation

$$\frac{I}{e} - \frac{N}{\tau_e} = A(N - N_0)P \Rightarrow \frac{I}{e} - \frac{1}{\tau_e} \left( N_0 + \frac{1}{A\tau_p} \right) = \frac{P}{\tau_p}$$

$\therefore P$  can be expressed as  $P = \frac{\tau_p}{e} (I - I_S)$  where  $I_S$  is the threshold current with

$$I_S = \frac{e}{\tau_e} \left( N_0 + \frac{1}{A\tau_p} \right)$$


By inserting the given values in the above equation, we get

$$I_S = \underline{\underline{14.39 \text{ mA}}}$$

1-3) Below the threshold current, the number of carriers keeps increasing with the increase in bias current according to this expression:

$$N = \frac{\tau_e I}{e}$$

Above the threshold current, the number of carriers is clamped at a value  $N_0 + \frac{1}{A\tau_p}$  when the gain is equal to the losses.

As the bias current increases, the carrier number is fixed and the gain will not increase. So, the excess of carriers are directly used for stimulated emission. 

2.1) For small signal analysis on steady state quantities  $\bar{N}$ ,  $\bar{P}$ ,  $\bar{I}$ , we introduce a perturbation of the current  $\delta I$ , which results in perturbation of the carrier number  $\delta N$  and photon number  $\delta P$ . Instead of  $\bar{I}$  we use  $\bar{I} + \delta I$ , of  $N$ ,  $\bar{N} + \delta N$ , of  $P$ ,  $\bar{P} + \delta P$  & the rate equation becomes:

~~$$\frac{d(\bar{N} + \delta N)}{dt} = \frac{\bar{I} + \delta I}{e} - A(\bar{N} + \delta N - N_0)(\bar{P} + \delta P) - \frac{\bar{N} + \delta N}{\tau_e}$$~~

~~$$\frac{d(\bar{P} + \delta P)}{dt} = A(\bar{N} + \delta N - N_0)(\bar{P} + \delta P) - \frac{\bar{P} + \delta P}{\tau_p} + \beta \frac{\bar{N} + \delta N}{\tau_e}$$~~

In order to develop these equations, the second order expressions i.e.  $\delta N$ ,  $\delta P$  are neglected and set to zero. Therefore, the equation for perturbations becomes: Also, it is

Since the analysis is carried out above threshold i.e.

$A(\bar{N} - N_0) = \frac{1}{\tau_p}$ , the above equations can be re-written as:

$$\frac{d(\delta N)}{dt} = \frac{\delta I}{e} - \left( A\bar{P} + \frac{1}{\tau_e} \right) \delta N - \frac{\delta P}{\tau_p}$$

$$\frac{d(\delta P)}{dt} = A\bar{P} \delta N$$

These equations can be expressed in the matrix form as:

~~$$\frac{d}{dt} \begin{pmatrix} \frac{d(\delta N)}{dt} \\ \frac{d(\delta P)}{dt} \end{pmatrix} = \begin{pmatrix} -\left( A\bar{P} + \frac{1}{\tau_e} \right) & -\frac{1}{\tau_p} \\ A\bar{P} & 0 \end{pmatrix} \begin{pmatrix} \delta N \\ \delta P \end{pmatrix} + \begin{pmatrix} \frac{\delta I}{e} \\ 0 \end{pmatrix}$$~~

$$\therefore M = \begin{pmatrix} -\left( A\bar{P} + \frac{1}{\tau_e} \right) & -\frac{1}{\tau_p} \\ A\bar{P} & 0 \end{pmatrix} + \begin{pmatrix} \frac{\delta I}{e} \\ 0 \end{pmatrix}$$

2.2) From the above equation in 2.1, we found that

$$\begin{pmatrix} \frac{dSN}{dt} \\ \frac{dSP}{dt} \end{pmatrix} = \begin{pmatrix} -\left(A\bar{P} + \frac{1}{\tau_e}\right) & -\frac{1}{\tau_p} \\ A\bar{P} & 0 \end{pmatrix} \begin{pmatrix} \delta N \\ \delta P \end{pmatrix} + \begin{pmatrix} \frac{\delta I}{e} \\ 0 \end{pmatrix}$$

where  $A = \begin{pmatrix} -\left(A\bar{P} + \frac{1}{\tau_e}\right) & -\frac{1}{\tau_p} \\ A\bar{P} & 0 \end{pmatrix}$

2.3) To determine the value of perturbations  $\tilde{\delta P}$  and  $\tilde{\delta N}$  as a function of  $\tilde{\delta I}$ , the equation in Fourier domain can be written as:

$$\begin{pmatrix} j\Omega \tilde{\delta N} \\ j\Omega \tilde{\delta P} \end{pmatrix} = \begin{pmatrix} -(A\bar{P} + \frac{1}{\tau_e}) & -\frac{1}{\tau_p} \\ A\bar{P} & 0 \end{pmatrix} \begin{pmatrix} \tilde{\delta N} \\ \tilde{\delta P} \end{pmatrix} + \begin{pmatrix} \tilde{\delta I}/e \\ 0 \end{pmatrix}$$

If we group the expressions together, the equation becomes

$$\begin{pmatrix} j\Omega + (A\bar{P} + \frac{1}{\tau_e}) & \frac{1}{\tau_p} \\ -A\bar{P} & j\Omega \end{pmatrix} \begin{pmatrix} \tilde{\delta N} \\ \tilde{\delta P} \end{pmatrix} = \begin{pmatrix} \tilde{\delta I}/e \\ 0 \end{pmatrix}$$

We could consider:

$$M = \begin{pmatrix} j\Omega + (A\bar{P} + \frac{1}{\tau_e}) & \frac{1}{\tau_p} \\ -A\bar{P} & j\Omega \end{pmatrix}$$

$$\therefore \begin{pmatrix} \tilde{\delta N} \\ \tilde{\delta P} \end{pmatrix} = M^{-1} \begin{pmatrix} \tilde{\delta I}/e \\ 0 \end{pmatrix}$$

If  $M$  is expressed as  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  then,

$$M^{-1} = \frac{1}{ad - bc} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$$

$$\therefore M^{-1} = \frac{1}{-\Omega^2 + \frac{A\bar{P}}{\tau_p} + j\Omega(A\bar{P} + \frac{1}{\tau_e})} \begin{pmatrix} j\Omega & -\frac{1}{\tau_p} \\ A\bar{P} & j\Omega + (A\bar{P} + \frac{1}{\tau_e}) \end{pmatrix}$$

$$\omega_r^2 = \frac{A\bar{P}}{\tau_p} \quad \text{and} \quad \frac{2}{\tau_r} = A\bar{P} + \frac{1}{\tau_e}$$

$\omega_r$  is the relaxation oscillation and  $\frac{2}{\tau_r}$  is the damping coefficient.

$$\therefore \frac{\delta \tilde{N}}{\delta \tilde{I}} = \frac{j\Omega}{-\Omega^2 + A\bar{P} + j\Omega\left(A\bar{P} + \frac{1}{\tau_e}\right)}$$

$$\therefore \delta \tilde{N} = \frac{j\Omega}{-\Omega^2 + \frac{A\bar{P}}{\tau_p} + j\Omega\left(A\bar{P} + \frac{1}{\tau_e}\right)} \frac{\delta \tilde{I}}{C}$$

$$\delta \tilde{N} = \frac{j\Omega}{-\Omega^2 + \omega_r^2 + j\Omega \frac{2}{\tau_r}} \frac{\delta \tilde{I}}{C}$$

$$\therefore \delta \tilde{P} = \frac{A\bar{P}}{-\Omega^2 + \frac{A\bar{P}}{\tau_p} + j\Omega\left(A\bar{P} + \frac{1}{\tau_e}\right)} \frac{\delta \tilde{I}}{C}$$

$$\delta \tilde{P} = \frac{\omega_r^2 \tau_p}{-\Omega^2 + \omega_r^2 + j\Omega \frac{2}{\tau_r}} \frac{\delta \tilde{I}}{C}$$



$$3) \quad \frac{S}{N} = \frac{\left(\frac{\eta e}{hc/\lambda}\right)^2 P_s^2}{\left(2e\left(\frac{\eta e}{hc/\lambda}\right)P_s + \frac{4kT}{R_c}\right) \Delta f}$$

3.1) The given values are:-

$$\eta = 0.85$$

$$e = 1.6 \times 10^{-19} \text{ C}$$

$$h = 6.62 \times 10^{-34} \text{ Js}$$

$$c = 3 \times 10^8 \text{ m/s}$$

$$\lambda = 1.55 \times 10^{-6} \text{ m}$$

$$k = 1.38 \times 10^{-23} \text{ J/K}$$

$$T = 300 \text{ K}$$

$$R_c = 50 \Omega$$

$$\Delta f = 20 \times 10^9 \text{ Hz}$$

$$P_s = -3 \text{ dBm}$$

Changing  $P_s$  to linear scale

$$\therefore P_s = 10^{-3/10} = 0.5 \times 10^{-3} \text{ W}$$

$$\rightarrow \left(\frac{\eta e}{hc/\lambda}\right)^2 P_s^2$$

$$= \left(\frac{0.85 \times 1.6 \times 10^{-19} \times 1.55 \times 10^{-6}}{6.62 \times 10^{-34} \times 3 \times 10^8}\right)^2 (0.5 \times 10^{-3})^2$$

$$= (1.06)^2 \times (0.5 \times 10^{-3})^2$$

$$= 0.2809 \times 10^{-6}$$

$$\rightarrow \frac{4kT}{R_c}$$

$$= \frac{4 \times 1.38 \times 10^{-23} \times 300}{50}$$

$$= 33.12 \times 10^{-22}$$

$$\rightarrow 2e\left(\frac{\eta e}{hc/\lambda}\right)P_s$$

$$= 2 \times 1.6 \times 10^{-19} \times (1.06) \times 0.5 \times 10^{-3}$$

$$= 1.696 \times 10^{-22}$$

$$\therefore \frac{S}{N} = \frac{0.2809 \times 10^{-6}}{(1.696 + 3.312) \times 10^{-22} \times 20 \times 10^9}$$

$$= 0.028045 \times 10^6$$

$$= 10 \log (0.028045 \times 10^6)$$

$$= 44.47 \text{ dB}$$

3.2) At temperature  $0^\circ\text{C}$  i.e.  $273\text{K}$ ,

$$\frac{S}{N} = \frac{\left(\frac{\eta e}{hc/\lambda}\right)^2 P_s^2}{\left(2e\left(\frac{\eta e}{hc/\lambda}\right) P_s + \frac{4kT}{R_c}\right) \Delta f}$$

$$\rightarrow \frac{4kT}{R_c}$$

$$= \frac{4 \times 1.38 \times 10^{-23} \times 273}{50}$$

$$= 30.13 \times 10^{-23}$$

Plugging values from the previous part of the question:

$$\begin{aligned} \therefore \frac{S}{N} &= \frac{0.2809 \times 10^{-6}}{(1.696 + 3.013) 20 \times 10^9 \times 10^{-22}} \\ &= 0.02982 \times 10^6 \\ &= 10 \log(0.02982 \times 10^6) \end{aligned}$$

$$\therefore \frac{S}{N} = \underline{\underline{44.74 \text{ dB}}}$$

3.3) From 3.1 & 3.2 questions, we note that

at 300K,  $SNR = 44.47 \text{ dB}$

at 273K,  $SNR = 44.74 \text{ dB}$

with decreasing temperature, SNR is increasing.

$\therefore$  with ~~more~~ increase in Temperature, the signal to noise ratio will decrease.

3.4) From the SNR expression, Noise  $N = \left( 2e \left( \frac{\eta e}{n_c A} \right) P_s + \frac{4kT}{R_c} \right) \Delta f$

where  $\Delta f$  is the bandwidth. If the bandwidth increases, the noise will increase.

$\therefore$  If the bandwidth increases, the signal to noise ratio will decrease.

4)

$$\tau_r (\text{radiative}) = 50 \text{ ns}$$

$$\tau_{nr} (\text{non-radiative}) = 75 \text{ ns}$$

$$\begin{aligned} \therefore \text{the internal efficiency } \eta_{\text{int}} &= \frac{1/\tau_r}{1/\tau_r + 1/\tau_{nr}} \\ &= \frac{1/50}{1/50 + 1/75} \\ &= 0.6 \end{aligned}$$

$$\lambda = 450 \text{ nm}$$

$$I = 300 \text{ mA}$$

$$c = 3 \times 10^8 \text{ m/s}$$

$$h = 6.62 \times 10^{-34} \text{ Js} \quad e = 1.6 \times 10^{-19}$$

$$\therefore \text{the external efficiency } \eta_{\text{ext}} = \frac{P/h\nu}{I/e}$$

For extraction efficiency, we know that:

$$\eta = \eta_{\text{int}} \times K \times \left[ 1 - \left( \frac{n-1}{n+1} \right)^2 \right] \frac{2\pi (1 - \cos \theta_c)}{4\pi}$$

where  $\eta_{\text{int}}$  is the internal efficiency i.e. 0.6

$K$  is absorption loss

$n$  is refractive index i.e. 3.6

$\theta_c$  is critical angle.

$$\theta_c = \sin^{-1} \left( \frac{1}{n} \right)$$

$$= 16.12.$$

Given that semiconductor material is not light absorbing,  
 $\therefore K=1$

$$\therefore \eta = 0.6 \times 1 \times \left[ 1 - \left( \frac{3.6-1}{3.6+1} \right)^2 \right] \frac{2\pi (1 - \cos (16.12))}{4\pi}$$

$$= 0.0077$$

$$= 0.77\%$$

