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An Innovative Machine-Learning-Based Scheduling Solution for Improving Live UHD Video Streaming Quality in Highly Dynamic Network Environments

Ioan-Sorin Comșa, Gabriel-Miro Muntean[®], Senior Member, IEEE, and Ramona Trestian[®], Member, IEEE

Abstract—The latest advances in terms of network technologies 2 open up new opportunities for high-end applications, including ³ using the next generation video streaming technologies. As mobile 4 devices become more affordable and powerful, an increasing 5 range of rich media applications could offer a highly realis-6 tic and immersive experience to mobile users. However, this 7 comes at the cost of very stringent Quality of Service (QoS) ⁸ requirements, putting significant pressure on the underlying 9 networks. In order to accommodate these new rich media appli-10 cations and overcome their associated challenges, this paper 11 proposes an innovative Machine Learning-based scheduling solu-12 tion which supports increased quality for live omnidirectional 13 (360°) video streaming. The proposed solution is deployed in a 14 highly dynamic Unmanned Aerial Vehicle (UAV)-based environ-15 ment to support immersive live omnidirectional video streaming 16 to mobile users. The effectiveness of the proposed method is 17 demonstrated through simulations and compared against three 18 state-of-the-art scheduling solutions, such as: static Prioritization 19 (SP), Required Activity Detection Scheduler (RADS) and Frame 20 Level Scheduler (FLS). The results show that the proposed solu-21 tion outperforms the other schemes involved in terms of PSNR, 22 throughput and packet loss rate.

Index Terms—Omnidirectional video, live streaming, QoS,
 machine learning, radio resource management, UAV.

I. INTRODUCTION

Additionally, the increasing adoption of new Virtual Reality (VR) and Augmented Reality (AR) enabled high-end mobile

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UAV/Drone 360° camera Internet MEC Live Streaming W User VR User

Fig. 1. Highly dynamic immersive live UHD streaming example scenario.

devices together with the increasing amount of content ready to be consumed pushes the current 4G networks closer to their saturation. It is expected that the VR/AR generated traffic to continue to follow a high growth trajectory especially with the potential adoption of virtual reality streaming [1] that opens up a new era of 5G-based media services. Moreover, Cisco [1] 41 also predicts that live Internet video will account for 17% of the Internet video traffic by 2022 with IP video traffic reaching 82% of all IP traffic globally.

Consequently, in order to keep up with the current and pre-45 dicted traffic demands, the network operators have already 46 started an accelerated roll-out of 5G communications. As 47 the new 5G technology targets high data rate and very low 48 latency, it opens up a new range of applications starting 49 from immersive augmented reality to driverless cars or even 50 robot-enabled remote surgery. According to Cisco, by 2022, 51 5G devices and connections will represent more than 3% of 52 global mobile devices and connections, with 12% of the global 53 mobile traffic being generated over the 5G cellular network [1]. 54 However, the network operators need to demonstrate that the 55 tremendous potential of the 5G deployment could meet the 56 users' expectations. The challenge is magnified even further 57 especially given the current wide and diverse range of appli-58 cations with different Quality of Service (QoS) requirements 59 which need to be supported on a heterogeneity of end-60 user hardware platforms. Applications such as live network 61 streaming require low latency and jitter, whereas, reliability 62 is needed for applications such as file transfer which cannot 63

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64 tolerate packet loss or high delay. As most applications require 65 end-to-end network support, this hampers the potential devel-66 opment and advantages of new applications. Consequently, it 67 becomes obvious that just increasing the system capacity is 68 not enough to meet the heterogeneous QoS requirements for 69 all mobile users at the same time. This is mainly due to the 70 increasing popularity of bandwidth-hungry applications (e.g., 71 multimedia-based applications), limited radio resources and 72 changeable wireless network conditions. Thus, along with the 73 next generation networks deployment, new emerging technolo-74 gies and solutions are being explored to help network operators cope with such high traffic demands, such as: integration 75 to 76 of MPEG-DASH [2] as the de-facto video delivery mecha-77 nism, Advanced Television Systems Committee (ATSC) 3.0 78 standard [3], evolved Multimedia Broadcast/Multicast Service 79 (eMBMS) [4], Further eMBMS (FeMBMS) and New Radio 80 MBMS (NR-MBMS) [5], mmWave communications [6], satel-81 lite back-haul [7], Software Defined Networks (SDN) and 82 Network Function Virtualisation (NFV) [8], [9], Mobile Edge 83 Computing (MEC) [10], Unmanned Aerial Vehicle (UAV) or ⁸⁴ drones [11], machine learning [12], etc. As a potential use 85 case of UAV, Mangina et al. [13] make use of drones for live ⁸⁶ streaming for people with limited mobility, so that they could 87 enjoy the immersion as if they were present at the specific ⁸⁸ location. The aim of this framework is to use the technology 89 to enable opportunities for communication and self expression 90 of people of all levels of physical and cognitive ability.

This work focuses on a highly dynamic mobile scenario 91 ⁹² involving high bitrate live video streaming, as the one illus-⁹³ trated in Fig. 1. In this scenario, an UAV equipped with an 94 omnidirectional (360°) camera is used to send 4K/8K video ⁹⁵ captured in real time from a live event taking place for instance 96 in a stadium, to a MEC server attached to a 5G network. VR-97 enabled users get the live video stream served via the 5G ⁹⁸ network and expect to enjoy a high quality video experience, ⁹⁹ as if they were present at the venue. However, to be able create a high quality immersive experience for the remote 100 to 101 users, the network operators need to guarantee low latency 102 and packet loss, and high throughput while also accommodat-103 ing other traffic classes. Unfortunately, this is not possible to ¹⁰⁴ achieve with conventional resource management methods.

In this context, this paper proposes and describes an innovative Machine Learning (ML)-based scheduling solutor tion for radio resource management to improve signifitom cantly QoS provisioning and increase users' Quality of Experience (QoE) levels in the presence of heterogeino neous traffic. The proposed solution targets particularly highly challenging scenarios which involve live streaming of very high bitrate video in highly dynamic network is environments.

The remainder of this article is organized as follows: 115 Section II discusses important related works in this area 116 and Section III presents an overview of the proposed solu-117 tion. Section IV details the proposed innovative ML-based 118 scheduling solution for increased quality of live high bitrate 119 video streaming in highly dynamic network environments 120 and presents the associated problem formulation. Evaluation 121 results are discussed in Section V in comparison with those of alternative solutions and finally, conclusions are drawn in 122 Section VI. 123

II. RELATED WORKS

A key challenge for network operators is to provide ubiquitous connectivity to different device types and applications with heterogeneous QoS requirements. This challenge is amplified by the increasing popularity of multimediabased bandwidth-hungry applications with strict QoS requirements that stretch the current 4G networks closer to saturation. Consequently, to be able to accommodate all these new immersive live streaming applications, known for being bandwidth-hungry and having low-latency and packet loss requirements [14], advanced solutions must be adopted to maintain increased QoE for end-users, since QoE is expected to become the biggest differentiator between network operators [15].

An important component that is expected to be integrated 138 within the 5G and beyond 5G networks is the use of UAV [16]. 139 Apart from facilitating temporary radio access and Internet 140 connectivity, UAVs could also be used to facilitate live video 141 broadcasting and enable support for high data rate transmis- 142 sions [11]. However, to accommodate a high number of users 143 with enhanced QoE levels within the 5G radio access network, 144 system bandwidth needs to be properly managed. According 145 to [17], two adaptation methods classes can be considered to 146 deal with the bandwidth efficiency in order to improve QoS 147 and QoE, such as: passive and active. The active approaches 148 aim to improve the bandwidth allocation by using scheduling 149 algorithms, whereas passive ones refer more to bandwidth- 150 compliant adaptation techniques that adapt the multimedia 151 transmission to the available bandwidth. 152

As an active adaptation entity, the packet scheduler is 153 responsible for dynamically sharing the system bandwidth 154 between the end-users such that the QoS provisioning is max- 155 imized. Different scheduling strategies are proposed in the 156 literature to deal with QoS targets [18]. A scheduler that 157 encapsulates the features of different scheduling strategies 158 is proposed in [19] for 3G downlink systems to assure the 159 multidimensional QoS provisioning under varying traffic and 160 radio channel conditions. However, most of the state-of-the- 161 art schedulers targeting multidimensional QoS requirements 162 aim to prioritize some traffic classes while ignoring others. 163 For instance, Frame Level Scheduler (FLS) [20] prioritizes 164 real-time traffic (e.g., video, voice, gaming) over the more 165 elastic traffic classes (e.g., file transfer, HTTP). In contrast, 166 Required Activity Detection (RADS) [21] prioritizes a group 167 of users according to their packet delay and fairness crite- 168 rion. However, most of the prioritization schemes are unable 169 to react to the dynamics of the wireless environment, such 170 as: increasing number of users, various traffic characteristics, 171 and changeable network conditions. As a consequence, some 172 traffic classes are over-provisioned while others may have a 173 degraded QoS. 174

A passive method used for traffic prioritization and bandwidth adaptation is proposed in [17] to manage the transmission of massive clinical applications in high-speed ambulance scenario under variable and limited communication bandwidth. 178



Fig. 2. Proposed 5G UAV-based live streaming framework.

179 The approach works in two stages: a) the clinical multimedia 180 data is prioritized in four classes based on the disease model and the criticality of each model; b) according to the avail-181 182 able bandwidth, different heuristic algorithms are proposed to 183 reduce the clinical data rates according to their priority class. The evaluations show the effectiveness of this approach by 184 185 transferring the most critical information within the limited 186 bandwidth. By focusing only on QoE improvement, the system 187 bandwidth can remain underutilized. In this sense, a passive 188 adaptation scheme is proposed in [22] to facilitate the video 189 rate adaptation by considering the physical layer information enable accurate bandwidth estimation. The latest network 190 to advancements need to accommodate advanced applications 191 192 and services with very high data rates and extremely low ¹⁹³ latency. Wang et al. [23] propose the use of fog networking coordinate a network of drones equipped with cameras to 194 to broadcast live events. The objective of the proposed framework 195 to maximizing the coverage area as well as the available 196 is throughput for high-quality video streaming to video servers. 197 In terms of Radio Resource Management (RRM) and QoS 198 ¹⁹⁹ provisioning, classical RRM functionalities would not be able 200 to meet the stringent QoS requirements of all these immersive live streaming applications while also catering for the 201 202 rest of application classes. In the context of 5G, ML is cur-203 rently gaining considerable attention as it is seen as one of ²⁰⁴ the key enablers for QoS provisioning [12], [18], [24]–[26] as 205 well as for the development of intelligent services for smart ²⁰⁶ cities [27]. An autonomous network resource management for 207 QoS and QoE provisioning is proposed in [12] to predict the 208 amount of network resources that needs to be allocated to 209 cope with the traffic demands for live and on-demand dynamic 210 adaptive streaming over HTTP. Machine learning is used to ²¹¹ optimize the scheduling and resource allocation problems in ²¹² 5G radio access networks focusing on different combinations ²¹³ of QoS objectives, such as: throughput, delay and packet loss ²¹⁴ in [18], packet loss and delay in [24], system throughput and 215 user fairness in [25]. However, these ML-based scheduling

solutions are designed for homogeneous traffic types only. ²¹⁶ The ML framework proposed in [26] aims to optimize the ²¹⁷ resource and power allocation problem for heterogeneous traffic with the scope of improving the delay of Ultra-Reliable and ²¹⁹ Low-Latency Communications (URLLC) users and throughput ²²⁰ of enhanced Mobile Broadband (eMBB) users. Compared to ²²¹ previous works, this paper proposes a ML-based scheduling ²²² and resource allocation solution to enable high level of QoS ²²³ provisioning for mobile users experiencing UAV VR-based ²²⁴ live video content while maintaining an acceptable service ²²⁵ quality of other traffic types with diverse QoS requirements. ²²⁶

- To this extent, the contributions of this paper are two fold: 227
- an innovative ML-based scheduling solution to enable 228 QoS provisioning for Ultra High Definition video streaming in highly dynamic network environments; 230

 a QoS-oriented UAV-based integrated system for enabling ²³¹ high quality levels for immersive live video streaming. ²³² The benefits of the proposed ML-based solution compared ²³³

to other state-of-the-art schedulers are summarized as follows: 234

- enhanced QoS provisioning (in terms of delay, throughput and packet loss requirements), higher throughput and Peak Signal-to-Noise Ratio (PSNR) for users requesting UHD VR-based live video;
- gains in excess of 100% when monitoring the time frac- ²³⁹ tion when the heterogeneous QoS requirements are met ²⁴⁰ in a mixture of services with various QoS requirements; ²⁴¹
- improved inter-class fairness by respecting over time the 242 standard prioritization order; it can accommodate a higher 243 number of UHD VR video connections and avoids the 244 over/under-provisioning of other traffic classes. 245

III. PROPOSED FRAMEWORK FOR UAV-BASED 4K 246 STREAMING 247

The main components of the proposed quality and ²⁴⁸ performance-oriented system for high quality live video ²⁴⁹ streaming are illustrated in Fig. 2. The figure presents a very ²⁵⁰

²⁵¹ challenging deployment involving a UAV with a 360° cam-²⁵² era, a MEC server, a 5G intelligent packet scheduler and VR ²⁵³ users. The UAV has a 360° spherical camera that records a ²⁵⁴ live event (e.g., football games, concerts, festivals, etc.). The 255 UAV communicates via the 5G network on the ground to send 4K/8K UHD video to the MEC server. For simplicity, 256 is assumed that there is no loss on the communication link it 257 between the UAV and the MEC server. The MEC server will 258 then stream live the UHD video content to the users. However, 259 260 in order to accommodate a heterogeneous traffic mix with different QoS requirements, an intelligent ML-based packet 261 262 scheduler is proposed to enable high QoS provisioning for ²⁶³ different traffic classes, including for live high bitrate video 264 streaming. The mix of traffic can consider the 5G services 265 and use cases such as eMBB, URLLC and massive Machine Type Communications (mMTC) as well as other types of 4G 266 ²⁶⁷ related services with more relaxed QoS requirements.

The role of the packet scheduler is to allocate the avail-268 ²⁶⁹ able frequency resources to active users within a given cell to 270 improve as much as possible the fraction of scheduling time when the QoS requirements are met for each traffic type. The 271 scheduling process is conducted at each Transmission Time 272 Interval (TTI) and usually works in two steps: a) Time-based 273 Prioritization (TP) where a group of users with more stringent 274 275 QoS requirements is prioritized among other users with more 276 relaxed QoS constraints and b) Frequency-based Prioritization 277 (FP) that aims to allocate the radio resources in order to 278 increase the QoS provisioning in terms of delay, packet loss 279 and rate requirements for the pre-selected group of users. While time prioritization is seen as an outer QoS provisioning 280 scheme for all traffic classes based on a given priority order, 281 ²⁸² frequency prioritization acts as an inner QoS provisioning 283 scheme for the pre-selected users. Consequently, the sched-284 uler will prioritize data packets in both time and frequency 285 domains based on current networking conditions that may ²⁸⁶ change at each TTI, including: number of users for each traffic 287 class, QoS profiles, heterogeneous QoS parameters, VR live 288 streaming characteristics, channel conditions, etc. However, many existing scheduling schemes are not able to adapt to the 289 290 dynamic and unpredictable networking conditions [18]. For 291 instance, some time-based prioritization schemes aim to over-²⁹² provision some traffic classes while degrading the performance ²⁹³ of others [20], [21], whereas the frequency-based prioritization 294 techniques will address only particular QoS requirements at ²⁹⁵ any time [18]. In order to avoid these drawbacks, the proposed 296 scheduling solution is flexible, being able to adapt according to the current network conditions in order to enhance the frac-297 298 tion of time when the heterogeneous QoS requirements are 299 respected.

Since live UHD VR-based video streaming has strict QoS requirements with data rates at least twenty times greater than or conventional applications [1], the best practice would be to decide at each TTI the most suitable traffic class to be prioritized in order to: a) meet the very stringent QoS requirements of live UHD VR-based traffic and b) avoid the starvation effect for other types of applications. In the frequency domain, the most suitable scheduling rule is selected to improve the QoS provisioning for each selected traffic class. Therefore, an intelligent ML-based solution is introduced to learn over time ³⁰⁹ and propose the most suitable prioritization decisions based ³¹⁰ on current scheduler states. Therefore, this paper proposes an ³¹¹ innovative ML-based scheduler for heterogeneous traffic in ³¹² Orthogonal Frequency Division Multiple Access (OFDMA) ³¹³ downlink systems. The proposed ML-based scheduling solution is able to take each time two scheduling decisions in order ³¹⁶ to increase the amount of time when all QoS requirements are met. This two-dimensional decision prioritizes a certain traffic ³¹⁷ class at each TTI and decides the scheduling rule that allocates ³¹⁸ the available bandwidth to users of the pre-selected class in ³¹⁹ the frequency domain. ³²⁰

332

As previously stated, the proposed ML-based scheduler (see ³²³ Fig. 2) is able to select at each TTI the most suitable traffic ³²⁴ class to be prioritized in time domain and the best scheduling ³²⁵ rule for the user prioritization in frequency domain in order ³²⁶ to improve the QoS provisioning. These decisions could be ³²⁷ taken based on various parameters, such as: wireless channel conditions, application requirements, traffic characteristics, ³²⁹ users' profile, device types, etc. The details of the ML-based scheduler are presented next in this section. ³³¹

A. Prioritization-Based Scheduling

In frequency domain, it is considered that the available 333 bandwidth is divided in equal Resource Blocks (RBs), the 334 smallest radio resource that can be allocated by the Base 335 Station (BS) to the user (see Fig. 2). We define by $\mathcal{B} = {}_{336}$ $\{1, 2, \dots, B\}$ the set of available RBs in a given bandwidth. To 337 get the necessary bandwidth needed to accommodate a high 338 number of UHD VR-enabled live video streaming connections, 339 we aggregate multiple radio bandwidths. Each User Equipment 340 (UE) is characterized by a single traffic class, with a given 341 priority and a QoS profile in terms of delay, packet loss and 342 throughput requirements. Multiple UEs may request different 343 services with heterogeneous QoS requirements. A successful 344 scheduler should be able to accommodate UHD VR-based live 345 services as well as other conventional traffic types (e.g., video, 346 voice, file transfer, etc) without penalizing one over the other. 347 The list of symbols used in this paper is presented in Table I. 348

Let us consider *P* the number of traffic classes with different QoS profiles. We define by $\mathcal{P} = \{1, 2, ..., P\}$ the priority ³⁵⁰ set such that traffic class 1 has the highest priority (i.e., UHD ³⁵¹ VR-based live streaming traffic) while traffic class *P* has the ³⁶² lowest priority. The *Static prioritization (SP)* is defined according to the 3GPP guidelines [28] as follows: regardless of the ³⁶⁴ network conditions, the scheduling process respects the priority set $\mathcal{P} = \{1, 2, ..., P\}$ for the entire downlink transmission ³⁵⁵ esssion. Let us define the set of active users for all classes ³⁵⁷ as $\mathcal{U} = \{\mathcal{U}_1, \mathcal{U}_2, ..., \mathcal{U}_P\}$, where \mathcal{U}_p is the subset of users ³⁵⁸ corresponding to traffic class $p \in \mathcal{P}$. We denote by \mathcal{U}_p the ³⁵⁹ number of users belonging to class $p \in \mathcal{P}$, while by \mathcal{U} , the ³⁶⁰ total number of active users from all classes. Moreover, the ³⁶¹ set of heterogeneous QoS objectives in terms of their requirements' accomplishment is defined as $\mathcal{O} = \{\mathcal{O}_1, \mathcal{O}_2, ..., \mathcal{O}_P\}$, ³⁶³

| Parameter | Description | | | |
|--|---|--|--|--|
| A | Discrete and two-dimensional controller action space | | | |
| $\mathbf{a}[t]$ | Current action $\mathbf{a} \in \mathcal{A}$ decided at TTI t | | | |
| B | Set of resource blocks from different carriers | | | |
| b | Random resource block $b \in \mathcal{B}$ | | | |
| B | Max. no. of resource blocks | | | |
| E_{c} | Error of critic neural network | | | |
| E_a | Error of actor neural network | | | |
| L_H | Number of hidden layers | | | |
| $m_{b,p,u}$ | Metric of user $u \in \mathcal{U}_p$ on RB $b \in \mathcal{B}$ | | | |
| N_l | Number of nodes corresponding to layer l | | | |
| \mathcal{O} | Set of heterogeneous objectives | | | |
| ${\mathcal O}_p$ | Set of objectives corresponding to class p | | | |
| 0 | Objective index belonging to a given set \mathcal{O}_p | | | |
| O_p | Number of QoS objectives for the traffic class $p \in \mathcal{P}$ | | | |
| \mathcal{P} | Set of traffic classes in the priority order given by [28] | | | |
| p | Random traffic class $p \in \mathcal{P}$ | | | |
| P | Max. no. of traffic classes | | | |
| $\mathcal R$ | Set of scheduling rules | | | |
| r | Random scheduling rule $r \in \mathcal{R}$ | | | |
| R | Max. no. of scheduling rules from \mathcal{R} | | | |
| S | Continuous and multi-dimensional scheduler state space | | | |
| $\mathbf{s}[t]$ | Current scheduler state $\mathbf{s} \in \mathcal{S}$ at TTI t | | | |
| U | Set of heterogeneous users | | | |
| \mathcal{U}_p | Set of users corresponding to class p | | | |
| u | User index belonging to a given class \mathcal{U}_p | | | |
| U_p | Number of active users from \mathcal{U}_p | | | |
| U | Total number of heterogeneous users | | | |
| $\underline{x}_{o,p,u}$ | Qos indicator of $o \in \mathcal{O}$ and user $u \in \mathcal{U}_p$ | | | |
| $x_{o,p,u}$ | Qos requirement of $o \in \mathcal{O}$ and user $u \in \mathcal{U}_p$ | | | |
| $\begin{bmatrix} 1 & d & u \\ d & u & 1 \end{bmatrix}$ | Utility function of rule $r \in \mathcal{R}$ and user $u \in \mathcal{U}_p$ | | | |
| $\rho t + 1 $ | System reward value received at 1 $\prod t+1$ | | | |

TABLE I LIST OF NOTATIONS

³⁶⁴ where \mathcal{O}_p is the set of objectives for class $p \in \mathcal{P}$. It is said ³⁶⁵ that set \mathcal{O}_p is met if the delay, packet loss and throughput ³⁶⁶ requirements are respected by all active users belonging to ³⁶⁷ traffic class $p \in \mathcal{P}$.

In frequency domain, the process of user scheduling and 368 ³⁶⁹ resource allocation is conducted according to a given schedul-370 ing rule that is oriented on a particular QoS objective or on group of QoS objectives. We define the set of scheduling 371 a ³⁷² rules as $\mathcal{R} = \{1, 2, \dots, R\}$, where R represents the maximum number of rules. Assuming that a SP scheme is employed at 373 $_{374}$ this stage at each TTI, the set of active users \mathcal{U}_1 is passed in the frequency domain for scheduling. Here, a given schedul-375 ³⁷⁶ ing rule $r \in \mathcal{R}$ contributes to the metric computation for each user $u \in \mathcal{U}_1$ on each RB $b \in \mathcal{B}$. Each metric shows how nec-³⁷⁸ essary is for each user $u \in U_1$ to get each resource $b \in \mathcal{B}$ from ³⁷⁹ the perspective of the addressed objective $o \in \mathcal{O}_1$ targeted by ₃₈₀ the scheduling rule $r \in \mathcal{R}$. In the initial phase of scheduling, ³⁸¹ a number of U_1 metrics is computed for each RB $b \in \mathcal{B}$ by ³⁸² summing a total number of $U_1 \cdot B$ metrics. In the second phase, 383 the scheduler allocates each RB $b \in \mathcal{B}$ to the user with the ³⁸⁴ highest metric and the process is repeated RB-by-RB until the 385 entire set \mathcal{B} is allocated. However, some metrics can be zero 386 since the QoS objectives are met or there are not enough pack-387 ets in the queue for some users. If all metrics are equal, then 388 the RB $b \in \mathcal{B}$ remains unoccupied. Finally, the third phase ³⁸⁹ of the scheduling process aims at calculating the size of the 390 transport block for each user scheduled on different RBs and 391 determines the modulation and coding scheme necessary to ³⁹² decode the data at the reception. The scheduling process can ³⁹³ be repeated for the next prioritized class (i.e., p = 2) if some ³⁹⁴ RBs are unoccupied once the users from U_1 are scheduled.

By employing this SP scheme, the UHD VR-based live ³⁹⁵ video streaming traffic is always allocated the best resources ³⁹⁶ while adversely affecting QoS provisioning for other traffic ³⁹⁷ fic classes. To avoid this fundamental drawback, other traffic ³⁹⁸ classes must be prioritized when network conditions are favorable. Consequently, in this work, the proposed approach aims ⁴⁰⁰ to select at each TTI the traffic class $p \in \mathcal{P}$ in such a way that ⁴⁰¹ the satisfaction of heterogeneous QoS requirements has the ⁴⁰² highest possible outcome under the current networking conditions. In this way, we decide at each TTI the prioritization ⁴⁰⁴ set $\mathcal{P}[t] = \{p, 1, \dots, p - 1, p + 1, \dots, P\}$, where class $p \in \mathcal{P}$ ⁴⁰⁵ gets as many resources as needed up to the maximum number ⁴⁰⁶

our aim is to apply at each TTI the most suitable scheduling 413 rule in order to increase the fraction of time (in TTIs) when 414 the heterogeneous QoS requirements are met. 415

of RBs, whereas other classes receive the remaining resources ⁴⁰⁷ by following the priority order of $\{1, ..., p-1, p+1, ..., P\}$. ⁴⁰⁸ Even so, if always applying the same scheduling rule for ⁴⁰⁹ frequency prioritization, only one objective across all traffic ⁴¹⁰ classes would be addressed, while harming the performance ⁴¹¹ of other QoS targets. Consequently, in the frequency domain, ⁴¹²

B. Multi-Class and Multi-Objective Optimization Problem

Let us define by $x_{p,u,o}$ the Key Performance Indicator 417 (KPI) of user $u \in U_p$ and objective $o \in \mathcal{O}_p$ and by 418 $\bar{x}_{p,u,o}$ its associated requirement. It is said that user $u \in U_p$ 419 meets objective $o \in \mathcal{O}_p$ if and only if $x_{p,u,o}$ respects $\bar{x}_{p,u,o}$. 420 Furthermore, let us define the current KPI vector $\mathbf{x}_{p,u}[t] = 421$ $[x_{p,u,o_1}, x_{p,u,o_2}, \dots, \bar{x}_{p,u,O_p}]$ and its associated requirement 422 vector $\bar{\mathbf{x}}_{p,u} = [\bar{x}_{p,u,o_1}, \bar{x}_{p,u,o_2}, \dots, \bar{x}_{p,u,O_p}]$. User $u \in \mathcal{U}_p$ 423 meets all QoS objectives if and only if $\mathbf{x}_{p,u}$ respects the 424 requirement vector $\bar{\mathbf{x}}_{p,u}$. By extending this reasoning, the 425 entire set of objectives is met for each traffic class $p \in \mathcal{P}$, 426 if vector $\mathbf{x}_p[t] = [\mathbf{x}_{p,1}, \mathbf{x}_{p,2}, \dots, \mathbf{x}_{p,U_p}]$ respects its require- 427 ments $\bar{\mathbf{x}}_p = [\bar{\mathbf{x}}_{p,1}, \bar{\mathbf{x}}_{p,2}, \dots, \bar{\mathbf{x}}_{p,U_p}]$. The proposed framework 428 aims to increase the number of TTIs when the KPI vector 429 $\mathbf{x} = [\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_P]$ respects the QoS requirement vector 430 $\bar{\mathbf{x}} = [\bar{\mathbf{x}}_1, \bar{\mathbf{x}}_2, \dots, \bar{\mathbf{x}}_P]$. We formulate in (1) the multi-class and 431 multi-objective optimization problem that aims to determine 432 at each TTI the most convenient traffic class to be prioritized 433 and scheduling rule to be applied in the frequency domain such 434 that vector of QoS indicators x reaches the highest possible 435 outcome when reporting to the vector of QoS requirements $\bar{\mathbf{x}}$. 436

$$\max_{i,j,k} \sum_{r \in \mathcal{R}} \sum_{p \in \mathcal{P}} \sum_{u \in \mathcal{U}_p} \sum_{b \in \mathcal{B}} \sum_{i_{r,p}[t] \cdot j_{p,u}[t] \cdot k_{u,b}[t] \cdot \Gamma_{r,p}(\mathbf{x}_{p,u}[t])$$

$$\times \gamma_{u,b}[t], \qquad (1)$$
437

s.t.
$$\sum_{u} k_{u,b}[t] \le 1, \quad b = 1, \dots, B,$$
 (1a) 439

$$\sum_{p} j_{p,u}[t] \le 1, \quad u = u_1, \dots, u_{U_p}, p = 1, \dots, P, \text{ (1b)} \quad {}_{440}$$

$$\sum_{u} j_{p^*,u}[t] = U_{p^*}, \quad p^* \in \mathcal{P},$$
 (1c) 441

$$\sum_{u} j_{p^{\otimes}, u}[t] = 0, \quad \forall p^{\otimes} \in \mathcal{P} \setminus \{p^*\}, \tag{1d} \quad 442$$

$$\sum_{r} i_{r,p}[t] = 1, \quad p = 1, 2, \dots, P,$$
(1e) 443

444
$$\sum_{p} i_{r^*,p}[t] = P, \quad r^* \in \mathcal{R},$$
 (1f)

445
$$\sum_{p} i_{r^{\otimes},p}[t] = 0, \quad \forall r^{\otimes} \in \mathcal{R} \setminus \{r^*\},$$
(1g

446
$$i_{r,p}[t] \in \{0, 1\}, \quad \forall r \in \mathcal{R}, \forall p \in \mathcal{P},$$
 (1h)

447
$$j_{p,u}[t] \in \{0, 1\}, \quad \forall p \in \mathcal{P}, \forall u \in \mathcal{U}_p,$$
 (1i)

$$k_{u,b}[t] \in \{0, 1\}, \quad \forall u \in \mathcal{U}_p, \forall b \in \mathcal{B}.$$
(1j)

In (1) $\gamma_{u,b}[t]$ is the achievable user rate that quantifies the 449 450 number of bits transmitted if the RB $b \in \mathcal{B}$ would be allo-451 cated to user $u \in U_p$. Basically, $\gamma_{u,b}[t]$ is determined based 452 on the Channel Quality Indicator (CQI), a bandwidth depen-453 dent vector reported by each user $u \in U_p$ to the base station. 454 For each scheduling rule $r \in \mathcal{R}$, a unique utility function 455 $\Gamma_{r,p}(\mathbf{x}_{p,u})$ is associated in order to attenuate the channel vari-456 ations given by $\gamma_{u,b}[t]$ and to provide to the user the priority 457 to be scheduled in the frequency domain. Any utility function ⁴⁵⁸ $\Gamma_{r,p}(\mathbf{x}_{p,u}) : \mathbf{R} \to \mathbf{R}$ must be monotone and concave [29]. The 459 utility functions can be designed in many ways by consid-460 ering different KPIs as arguments with certain impact when ⁴⁶¹ meeting the heterogeneous and multidimensional QoS require-⁴⁶² ments. More examples of utility functions are presented in the ⁴⁶³ next section. When setting the same utility function $\Gamma_{r,p}(\mathbf{x}_{p,u})$ for all traffic classes, no matter what the prioritization set $\mathcal{P}_p[t]$ 464 465 is, the KPI vector **x** respects the requirement vector $\bar{\mathbf{x}}$ in a 466 certain measure. The idea is to select at each TTI the prior-⁴⁶⁷ itization set $\mathcal{P}_{p}[t]$ and the most suitable utility such that the ⁴⁶⁸ QoS provisioning would be maximized.

The traffic class, scheduling rule and radio resources are 469 470 assigned based on the decision variables. In (1), $k_{u,b}[t]$ is the 471 resource allocation variable: $k_{u,b}[t] = 1$ when RB $b \in \mathcal{B}$ 472 is allocated to UE $u \in U_p$ and $k_{u,b}[t] = 0$, otherwise. 473 Constraints in (1a) aim to allocate at most one user to each 474 RB. Variable $j_{p,u}[t]$ assigns each user to a specific traffic class. 475 Constraints (1b) indicate that each user belongs to at most 476 one traffic class. Constraints (1c) and (1d) show that only 477 users from the selected traffic class $p^* \in \mathcal{P}$ are passed in ⁴⁷⁸ the frequency domain. Variable $i_{r,p}[t]$ determines the type of 479 utility to be selected at each TTI. Constraints (1e) indicate that one type of utility function per traffic class is selected 480 each TTI, whereas constraints (1f) and (1g) show that the at 481 482 same scheduling rule is selected for all traffic classes, where ⁴⁸³ variable $r^* \in \mathcal{R}$ is the selected scheduling rule at TTI t and $_{484}$ $r^{\otimes} \in \mathcal{R}$ are the other scheduling rules remained un-selected at 485 TTI t. Constraints (1h), (1i) and (1j) make the entire problem 486 combinatorial.

⁴⁸⁷ Due to very high complexity, solving the optimization ⁴⁸⁸ problem from (1) at each TTI is difficult to achieve. Thus, we ⁴⁸⁹ propose a sub-optimal solution aiming to split this problem in ⁴⁹⁰ two sub-problems: in the first sub-problem, the prioritization ⁴⁹¹ set $\mathcal{P}_p[t]$ is decided and the most appropriated scheduling rule ⁴⁹² $r \in \mathcal{R}$ is assigned; in the second sub-problem, the resource ⁴⁹³ allocation is performed based on the prioritized traffic class ⁴⁹⁴ and selected scheduling rule. For the first sub-problem, we ⁴⁹⁵ propose a ML-based approach [30] to decide at each TTI the ⁴⁹⁶ class $p^* \in \mathcal{P}$ to be prioritized at first and the best fitting ⁴⁹⁷ scheduling rule $r^* \in \mathcal{R}$ for the resource allocation. The second sub-problem aims to solve the user scheduling from U_{p^*} and $_{498}$ the resource allocation based on the selected scheduling rule $_{499}$ $r^* \in \mathcal{R}$ as described in Section IV-A. As a first step of the $_{500}$ scheduling process, we determine the metric $m_{b,p^*,u}$ for each $_{501}$ user $u \in U_{p^*}$ and RB $b \in \mathcal{B}$ at each TTI as follows: $_{502}$

$$m_{b,p^*,u}[t] = \Gamma_{r^*,p^*}(\mathbf{x}_{p^*,u}) \cdot \gamma_{u,b}[t].$$
 (2) 503

As a result, the matrix of metrics $\mathbf{m} = [m_{b,p^*,u}] \in 504$ $\mathbb{R}^{U_{p^*} \times B}$ is computed, where $b = \{1, 2, ..., B\}$ and u = 505 $\{u_1, u_2, ..., u_{U_{p^*}}\}$. For each RB $b \in \mathcal{B}$, a vector of metrics is 506 considered, such as: $\mathbf{m}_b = [m_{b,p^*,u_1}, m_{b,p^*,u_2}, ..., m_{b,p^*,u_{U_{p^*}}}]$. 507 Resource $b \in \mathcal{B}$ is allocated to that user that has the maximum metric value from the vector \mathbf{m}_b , written in the following 509 manner: 510

$$b \mapsto u$$
, if $u = argmax_{u'}(m_{b,p^*,u'}[t])$, (3) 511

where expression $b \mapsto u$ allocates RB b to user u and $k_{u,b} = 1$. ⁵¹² It is important to mention that the allocation is performed RBby-RB until the entire set of RBs \mathcal{B} gets allocated. However, ⁵¹⁴ if for example $\mathbf{m}_{b'} = [0, 0, ..., 0]$, then RB $b' \in \mathcal{B}$ remains ⁵¹⁵ unoccupied. This resource can be allocated when the scheduling process is repeated for the next prioritized traffic class ⁵¹⁷ from the remained set of $\mathcal{P}[t] \setminus \{p^*\}$. By following this model, ⁵¹⁸ under certain network conditions it might happen that not all ⁵¹⁹ the users could get enough resources to meet their QoS objectives. The aim of the proposed scheduler is to increase as much ⁵²¹ as possible the QoS provisioning for UHD VR video users ⁵²² with insignificant QoS degradation of other services by properly selecting each time the traffic class to be prioritized and ⁵²⁴ the scheduling rule to be performed in the frequency domain. ⁵²⁵

C. Types of Scheduling Rules 526

A scheduling rule $r \in \mathcal{R}$ provides a unique utility function 527 $\Gamma_{r,p}(\mathbf{x}_{p,u})$ focused on a particular or a group of QoS objectives. 528 User fairness is one of the most popular objectives which can 529 be addressed when employing the following function [31]: 530

$$\Gamma_{1,p}(\bar{T}_{p,u}) = 1/\bar{T}_{p,u}$$
 (4) 531

where $\overline{T}_{p,u}$ is the average throughput of user $u \in U_p$ calculated based on the exponential moving filter and the scheduling rule r = 1 is Proportional Fair (PF). According to (2), (3) and (4), 534 user $u \in U_p$ with the highest ratio between achievable rate and 535 average throughput on RB $b \in \mathcal{B}$ is selected, while keeping a 536 certain fairness with the previously served users. 537

Guaranteeing the Bit Rates (GBR) is another QoS objective 538 that can be addressed when selecting the function [32]: 539

$$\Gamma_{2,p}(\bar{\bar{T}}_{p,u}) = [1 + w_1 \cdot e^{-w_2 \cdot (\bar{\bar{T}}_{p,u} - T_{p,u}^R)}] \cdot \Gamma_{1,p}(\bar{T}_{p,u}).$$
(5) 540

where $\bar{T}_{p,u}$ is the average user throughput calculated with the median moving filter and r = 2 is the Barrier Function (BF) 542 scheduling rule. Users with lower average rates than that of the corresponding requirements $T^{R}_{p,u}$ are preferred to be scheduled on each RB. 545

Delay objective aims at respecting the Head-of-Line (HoL) 546 packet delay of each user at each TTI. One possible solution 547

⁵⁴⁸ to achieve this target is to employ the following function [33]:

549
$$\Gamma_{3,p}(D_{p,u}) = e^{w_3 \cdot D_{p,u}/D_{p,u}^{\kappa}} \cdot \Gamma_{1,p}(\bar{T}_{p,u}),$$
 (6)

⁵⁵⁰ where $D_{p,u}$ is the HOL delay of user $u \in U_p$ at TTI t, $D_{p,u}^R$ ⁵⁵¹ is the corresponding requirement and r = 3 is entitled the ⁵⁵² EXPonential (EXP) rule. Users with packets approaching to ⁵⁵³ their deadline receive a much higher priority to be scheduled ⁵⁵⁴ given the exponential function.

The Packet Loss Rate (PLR) of each user can be improved when the scheduler employs the following utility function [34]:

557
$$\Gamma_{4,p}(L_{p,u}) = w_4 \cdot L_{p,u} / L_{p,u}^R \cdot \Gamma_{1,p}(\bar{T}_{p,u}),$$
 (7)

⁵⁵⁸ where $L_{p,u}$ is the PLR value at TTI *t* of user $u \in U_p$, $L_{p,u}^R$ is the ⁵⁵⁹ corresponding PLR requirement and r = 4 is the Opportunistic ⁵⁶⁰ Packet Loss Fair (OPLF) scheduling rule. When the through-⁵⁶¹ put, delay and PLR requirements are met by all users, BF, ⁵⁶² EXP and OPLF, respectively act similar to the PF scheduling ⁵⁶³ rule.

564 D. Controller and Packet Scheduler Interaction

In order to increase the fraction of scheduling time when 565 566 the heterogeneous QoS requirements are respected, we pro-567 pose the use of Reinforcement Learning (RL) [30] to learn ⁵⁶⁸ the most suitable traffic prioritization and scheduling rule that 569 can be applied in real time scheduling. RL makes use of 570 an agent (e.g., intelligent controller) that in time will learn take actions which will generate the maximum reward by 571 to 572 interacting with the environment (e.g., packet scheduler). As 573 seen from Fig. 2, at TTI t, the controller observes a state s_{74} s[t] $\in S$, representing the current network conditions, and 575 takes an action $\mathbf{a}[t] = [p, r] \in \mathcal{A}$ that prioritizes traffic class 576 $p \in \mathcal{P}$ in time domain and selects the scheduling rule $r \in \mathcal{R}$ 577 to be applied in the frequency domain. The scheduling proce-578 dure is conducted based on the selected action and the system 579 evolves to the next state $\mathbf{s}[t+1] = \mathbf{s}' \in S$ at TTI t+1. As illus-580 trated in Fig. 2, the reward value received from the scheduling 581 environment evaluates the performance of the applied action ⁵⁸² in the previous state. This function is calculated based on the set of KPIs $\mathbf{x}[t+1] = \mathbf{x}'$ received at TTI t+1. If we define ⁵⁸⁴ the reward function as $\rho: \mathcal{X} \to [-1, 1]$, where $\mathcal{X} \subset S$ is the 585 state space of KPI vectors, then the proposed function takes 586 the following form:

587
$$\rho(\mathbf{s}') = \sum_{p} \sum_{o} w_{p} \cdot \rho_{p,o}(\mathbf{x}'_{p}), \qquad (8)$$

⁵⁸⁸ where $\rho_{p,o}$ is the reward value of traffic class $p \in \mathcal{P}$ and ⁵⁸⁹ objective $o \in \mathcal{O}_p$, respectively. In (8), \mathbf{x}'_p is the KPI vector of ⁵⁹⁰ class $p \in \mathcal{P}$ at TTI *t*+1. This $\rho_{p,o}$ value denotes how far the ⁵⁹¹ online KPI parameters of traffic class $p \in \mathcal{P}$ are from their ⁵⁹² requirements in terms of objective $o \in \mathcal{O}_p$. The weight w_p ⁵⁹³ sets the 3GPP priority for each class as denoted by the static ⁵⁹⁴ prioritisation set \mathcal{P} . The controller must explore a high num-⁵⁹⁵ ber of state-to-state transitions to optimize the prioritization ⁵⁹⁶ decisions.

597 E. RL-Based Scheduling Framework

⁵⁹⁸ Since the scheduler state space is multi-dimensional and ⁵⁹⁹ continuous, the scheduling problems cannot be enumerated



Fig. 3. CACLA-based RL controller architecture.

exhaustively. We can only approximate the best traffic class ⁶⁰⁰ to be prioritized and the scheduling rule to be performed in ⁶⁰¹ improved. To reduce the complexity for the learning framework, Neural Network (NN) is used to approximate the best ⁶⁰³ prioritization decisions at each current state. During the learning stage, the NN weights are updated at each TTI based on ⁶⁰⁶ the scheduler and controller interaction as shown in Fig. 2. In ⁶⁰⁷ the exploitation stage, these weights are saved and the neural network is implemented as a non-linear function. ⁶⁰⁹

We propose the implementation of RL framework with a 610 minimum complexity. In this sense, let M be the number of 611 NN output pins in which, the first M/2 pins can be used to 612 determine the index of the traffic class to be prioritized and 613 the rest of output pins to decide the scheduling rule to be 614 applied in the frequency domain. To train this non-linear func- 615 tion with multi-dimensional input and output variables, we use 616 Continuous Actor-Critic Learning Automata (CACLA) algo- 617 rithm [35]. As seen from Fig. 3, CACLA considers two neural 618 networks: a) the critic neural network that approximates the 619 state value function and criticizes the action taken on each 620 state; b) actor neural network that approximates the best pri- 621 oritization set $\mathcal{P}_{p}[t]$ and scheduling rule $r \in \mathcal{R}$ to be applied 622 on each state. The role of the critic function is to examine the 623 actor activity and improve its decisions over time. 624

As an internal structure, a neural network is composed by 625 L number of layers, including here the hidden and output layers only. Therefore, we define the number of hidden layers as 627 $L_H = L - 1$. Each layer $l \in \{1, 2, ..., L + 1\}$ is composed by 628 neurons or nodes and interconnection matrices that represent 629 the weights connecting the nodes within two consecutive layers, for example l and l + 1. If N_l and N_{l+1} are the number 631 of nodes (not including the bias nodes) of layers l and l + 1, 632 respectively, then the total number of weights to be updated 633 at each TTI is $\sum_{l=1}^{L} (N_l + 1) \cdot N_{l+1}$. As indicated in Fig. 3, 634 when CACLA algorithm is employed, two sets of weights need 635 to be updated since both actor and critic neural networks are 636 involved during the learning stage.

674

The functional structure of critic NN is taking the form of form of functional structure of critic NN is taking the form of form of here non-linear function defined as: $V : S \rightarrow [-1, 1]$. The form actor NN takes the same form with the amendment that the form output value is multi-dimensional and the definition domain is formed at each TTI: a) the learning stage, two steps are performed at each TTI: a) the updating step in which the weights formed at each TTI: a) the updating step in which the weights formed at each TTI: a) the updating step in which the weights formed at each TTI: a) the updating step in which the weights formed at each TTI: a) the updating step in which the weights formed at each the updating step in which the weights formed at each TTI: a) the updating step in which the weights formed at each TTI: a) the updating step in which the weights formed at each the updating step in which the weights formed at each TTI: a) the updating step in which the weights formed at each TTI. In the exploitation stage, only the learnt actor function formed is used to provide the *M* dimensional decision under the form form for the controller action $\mathbf{a}[t + 1] = [p, r]$ that can be decoded for into traffic class prioritization and scheduling rule selection.

The updating process based on CACLA algorithm aims to refine the weights of both networks iteratively, on each state. For example, when the current state is $\mathbf{s}' \in S$, the error between the impact of applied action $\mathbf{a}[t] \in A$ in the previous state $\mathbf{s}[t] \in S$ and its expectation must be reinforced through the neural networks. Since CACLA makes use of two neural networks, then two types of errors must be reinforced.

Critic Error: At the beginning of the learning stage, the 658 weights of the critic NN are randomly chosen. Thus, these 659 660 weights are gradually updated based on the quality of the applied actions in every state. As seen in Fig. 3, the adapta-661 662 tion of the critic NN weights comprises two steps: a) forward 663 propagation responsible to get the consecutive critic values $\{V(\mathbf{s}), V(\mathbf{s}')\} \in [-1, 1]$ in order to quantify the impact of action $\mathbf{a} \in \mathcal{A}$ in state $\mathbf{s} \in \mathcal{S}$; and b) back-propagation 666 step that calculates the critic error and propagates it through 667 the critic NN based on the gradient descent principle [35]. 668 Without going into details, the gradient descent calculates 669 the error for each neuron of each layer $l \in \{2, \ldots, L+1\}$ 670 and updates the weights accordingly. The critic error function $_{671} E_c : \mathcal{S} \times \mathcal{S} \rightarrow [-1, 1]$ is defined (9), where $\{V^T(\mathbf{s}), V(\mathbf{s})\}$ are $_{672}$ determined by propagating the states (s, s') through the critic 673 NN from input to the output layers:

$$E_c(\mathbf{s}', \mathbf{s}) = V^T(\mathbf{s}) - V(\mathbf{s}).$$
⁽⁹⁾

⁶⁷⁵ Here, the target value is determined as $V^{T}(\mathbf{s}) = \rho + \gamma \cdot V(\mathbf{s}')$, ⁶⁷⁶ where $\gamma \in [0, 1]$ is a discount factor and ρ is the reward value ⁶⁷⁷ calculated with (8).

Actor Error: If the critic error is positive $E_c(\mathbf{s}', \mathbf{s}) \ge 0$, then the previous action was a good choice and the actor NN can be updated as well. If $E_c(\mathbf{s}', \mathbf{s}) < 0$, then the previous action was an unfortunate choice and then, the actor NN must be discouraged in taking such decision in the future. Consequently, the actor NN is not updated. When $E_c(\mathbf{s}', \mathbf{s}) \ge 0$, the actor NN is updated by following the same forward and backward propagation principles. The multi-dimensional actor error is determined based on the function $E_a : S \rightarrow [-1, 1]^M$:

$$E_a(\mathbf{s}) = A^T(\mathbf{s}) - A(\mathbf{s}), \tag{10}$$

where A^T is the target multi-dimensional action value determined based on some probability distributions. At the beginming of the learning stage, it is not recommended to exploit the actor NN decisions and then, a random multi-dimensional value of $A^T(\mathbf{s})$ different from $A(\mathbf{s})$ is preferred in order to enlarge the exploration of the scheduler state space. This is 706

denoted as the *improvement* step. Once the learning process ⁶⁹⁴ is approaching to its deadline, we aim to exploit more the ⁶⁹⁵ actor decisions and then, the multi-dimensional target $A^T(\mathbf{s})$ ⁶⁹⁶ is equal to $A(\mathbf{s})$. This is denoted as the *exploitation* step. For ⁶⁹⁷ an optimal learning, it is preferred to mix improvement and ⁶⁹⁸ exploitation steps with certain probabilities. Certainly, more ⁶⁹⁹ improvements steps are preferred at the beginning of the learning stage, whereas the end of the learning stage is likely to ⁷⁰¹ use more exploitation steps. In this way, we monitor if the ⁷⁰² mean actor error can converge or not to certain error levels. ⁷⁰³ Once the neural network(s) is(are) updated, the RL controller ⁷⁰⁴ decides the new action $\mathbf{a}' \in \mathcal{A}$ to be applied in state $\mathbf{s}' \in \mathcal{S}$. ⁷⁰⁵

V. SYSTEM EVALUATION

The proposed adaptation framework was implemented in 707 the RRM Scheduler Simulator [31], which is a C/C++ object 708 oriented tool that inherits the LTE-Sim simulator [36]. For 709 the performance evaluation, an infrastructure of 7 Intel 4-Core 710 machines with i7-2600 CPU at 3.40GHz, 64 bits, 8GB RAM 711 and 120 GB HDD Western Digital storage was used. Each 712 traffic type is generated by using the models provided by LTE-Sim simulator adapted to generate UHD VR-based video large 714 data packets. 715

The wireless channel is simulated by using the Jakes fast 716 fading model, that is considered deterministic, similar to 717 Rayleigh fading as it makes use of sinusoidal summing [31]. 718 Jakes fading considers the central frequency of 2GHz, the 719 system bandwidth in order to determine the periods of sinu- 720 soids, and the user speed to determine the pulsation and the 721 number of paths for the initial phase calculation. In our case, 722 the user speed is 3kmph with random direction in both learn- 723 ing and exploitation stages. Then, a number of 6 to 12 paths 724 are randomly generated at each TTI as implemented in [36]. 725 The channel propagation considers the loss given by: path, 726 shadowing and penetration. We consider the urban microcell 727 model for the path loss calculation, the shadowing loss is mod-728 elled as a log-normal distribution ($\mu = 0, \sigma = 8$ dB) in the 729 range of [0, 20] dB, and the penetration loss is fixed to 10dB 730 as it considers only the wall attenuation. 731

At each TTI, the user CQI is reported by following five 732 steps. In the first step, the reference signal is broadcasted at 733 each TTI by the base station over the entire system band-734 width. In the second step, each user calculates the power of the 735 received reference signal that is attenuated by fading and prop-736 agation loss models. In the third step, each user measures the 737 channel gain or the Signal-to-Interference/Noise Ratio (SINR) 738 for each RB based on the received power and interference val-739 ues. In our model, the intra-cell interference is negligible while 740 the inter-cell interference considers a cluster of 7 cells for each 741 component carrier. The ML-based solution and other sched-742 ulers run only on the central cell of each cluster, while other 743 cells provide the inter-cell interference levels. In the fourth 744 step, the CQI value for each RB is determined based on map-745 ping curves between SINR and BLock Error Rate (BLER), 746 where the target BLER is 10% [31]. Finally, the fifth step 747 involves the transmission of each user CQI to the base station 748 via a separate uplink channel which is errorless in our case. 749

We consider downlink transmission with carrier aggrega-750 ⁷⁵¹ tion with a bandwidth of 100 MHz (B = 500), a micro cell 752 radius of 200m and the FDD transmission mode. The CQI 753 reporting scheme is full-band and periodically sent at each TI to each user. The packet scheduler works on the carrier Т 754 755 component basis and makes use of separate entities for RLC 756 functionalities, retransmission schemes and modulation/coding 757 assignments. Each RLC entity works in acknowledged mode and considers a maximum number of 5 retransmissions for 758 759 each data packet. Packets failing to get successfully transmit-760 ted within this period are declared lost. The user PLRs and rates are summed per each carrier component at each TTI. 761

Four traffic classes with different QoS profiles are consid-762 763 ered for scheduling, such as: 20% UHD VR-based live video read streaming (p = 1), 60% live conventional video (p = 2), 15% voice (p = 3) and 5% file transfer (p = 4) [1]. UHD VR-based 765 video traffic is generated with a rate higher than 20Mbps, 766 where the packet delay requirement is 10ms and the packet 767 $_{768}$ loss rate less than 10^{-3} . The conversational video traffic has a variable data rate with a mean of 1Mbps and more relaxed QoS 769 profile. In the frequency domain, a mixture of scheduling rules 770 is considered, such as PF, BF ($w_1 = 1.25, w_2 = 1.31 \cdot 10^{-5}$), 772 EXP ($w_3 = 6$) and OPLF ($w_4 = 10$) functions as detailed in 773 Section IV-C.

774 A. Learning Stage

In the learning stage, the number of users for each traf-775 776 fic class is randomly chosen in the given ratio at predefined 777 time slots in order to increase the possibility of the actor-critic 778 neural networks to experience as many as possible variants 779 of instantaneous states from different space regions. Under 780 these circumstances, the optimal configuration of both actor 781 and critic NNs must be found in terms of the number of hidden ⁷⁸² layers L_H and hidden nodes N_l , $l = \{2, \ldots, L\}$. With a lower 783 number of hidden layers and nodes, the actor NN may under-784 fit the input data in the sense that some regions of the state 785 space are not very well represented by the learnt non-linear 786 function. On the other hand, a higher number of hidden layers 787 and nodes may determine the neural networks to overfit the training data, in the sense that, the framework will also learn 788 789 the noisy data. In both cases, the critic error starts to increase at certain moment of time in the learning stage. In order to find 790 а the best options for the number of hidden layers and nodes, 791 we simulated the learning stage in parallel for about 10^7 TTIs 792 (with the same networking conditions) for each of the fol-793 ⁷⁹⁴ lowing group of configurations: $(N_l = 150; L_H = \{1, 3, 5\}),$ 795 $(N_l = 200; L_H = \{1, 3, 5\}), (N_l = 250; L_H = \{1, 3, 5\}$ and ⁷⁹⁶ $(N_l = 300; L_H = \{1, 3, 5\})$. Table II presents the numerical 797 results of these configurations in terms of the critic error and 798 system complexity.

By monitoring the minimum error of a neural network over the learning stage, the over-fitting can be detected when increasing the number of hidden layers and nodes. For example, if the error decreases as the NN topology increases, then system can learn better with the higher configuration. On the other side, if the minimum error increases as the NN topolsof ogy size increases, then the over-fitting can appear and the

TABLE II Learning Performance of Different Configurations of Neural Networks

| No. Hidden | No. Hidden | Minimum | Normalized | Normalized |
|------------|------------|--------------|---------------|----------------|
| Nodes | Layers | Critic Error | Complexity | Complexity |
| (N_l) | (L_H) | (E_C) | Forward Prop. | Backward Prop. |
| | 1 | 0.0116691 | 0.06 | 0.64 |
| 150 | 3 | 0.0114227 | 0.21 | 0.88 |
| | 5 | 0.0120037 | 0.39 | 1.2 |
| 200 | 1 | 0.0119183 | 0.07 | 0.65 |
| | 3 | 0.0122024 | 0.35 | 1.11 |
| | 5 | 0.0121528 | 0.67 | 1.67 |
| 250 | 1 | 0.0121407 | 0.08 | 0.68 |
| | 3 | 0.0125644 | 0.53 | 1.45 |
| | 5 | 0.0122383 | 0.98 | 2.31 |
| 300 | 1 | 0.00969642 | 0.09 | 0.69 |
| | 3 | 0.0106559 | 0.73 | 1.8 |
| | 5 | 0.0107797 | 1.37 | 3.06 |
| | | | | |

system can learn better with the lower configuration. As seen 806 in Table II for $N_l = 150$ hidden nodes, the minimum critic 807 error gets lower as the critic NN configuration increases from 808 $L_H = 1$ to $L_H = 3$ and gets higher when increasing the number 809 of layers from $L_H = 3$ to $L_H = 5$. For the first set of results 810 $(N_l = 150; L_H = \{1, 3, 5\})$ obtained with the same networking ⁸¹¹ conditions, it can be concluded that above 450 hidden nodes 812 $({L_H = 3; N_l = 150})$, the risk of over-fitting becomes higher. 813 For other three sets of results $(N_l = \{200, 250, 300\})$, it can 814 be observed that the critic error increases as the number of 815 hidden layers increases from $L_H = 1$ to $L_H = 5$. Although 816 these four sets of simulations are not obtained with the same 817 networking conditions, it can be concluded that the critic NN 818 configurations with $(L_H = 1, N_l = \{150, 200, 250, 300\})$ and 819 $(L_H = 3, N_I = 150)$ can be used for the proposed ML-based 820 scheduling solution. The same observations are respected for 821 the actor NN, with the amendment that the over-fitting appears 822 much later since the weights are not updated at each TTI due 823 to the critic decision. For a higher topology, the over-fitting 824 can cause poor QoS provisioning for UHD VR users as well 825 as over-provisioning of other traffic classes.

Alongside the performance of the critic error, Table II 827 presents the complexity analysis for the forward and back- 828 ward propagation of both actor and critic NNs. The backward 829 propagation includes here the error propagation from output to 830 the input layers and the refinement of NN weights. We mea- 831 sure the normalized complexity as a ratio between the sum 832 of additional time (in seconds) needed to back-propagate the 833 errors through critic and actor NNs at each TTI averaged over 834 the total learning time (in seconds). Note that the backward 835 propagation complexity of actor NN is measured only when 836 the critic error is $E_c \ge 0$. The normalized complexity for the ⁸³⁷ forward propagation procedure of both actor and critic NNs 838 is determined in a similar way by averaging over the learning 839 stage the accumulated time needed to forward the states from 840 input to the output layers at each TTI. As seen in Table II, the 841 normalized complexity of both monitored processes increases 842 as the NN topology includes higher number of hidden lav- 843 ers and nodes. When considering the complexity analysis for 844 the most indicated NN configurations from the perspective of 845 over-fitting, we observe that a topology of $(L_H = 3, N_l = 150)$ 846 requires 3.5 times more computational time to forward propa- 847 gate the states through the actor and critic NNs when compared 848



Fig. 4. (a) QoS provisioning (GBR, delay and PLR) for UHD VR-based live video streaming; (b) 5^{th} Percentile throughput performance for UHD VR-based live video streaming; (c) 5^{th} Percentile PSNR performance for UHD VR-based live video streaming; (d) Heterogeneous QoS provisioning (GBR, delay and PLR) for all traffic classes; (e) 95^{th} Percentile PLR performance per traffic type when the range of heterogeneous users is [10, 30]; (f) 95^{th} Percentile PLR performance per traffic type when the range of heterogeneous users is [10, 30]; (f) 95^{th} Percentile PLR performance per traffic type when the range of heterogeneous users is [31, 50].

⁸⁴⁹ to the case of $(L_H = 1, N_l = 150)$. For the backward propas agation, the normalized complexity ($L_H = 3, N_l = 150$) is only 1.5 times greater than that of $(L_H = 1, N_l = 150)$ since 851 852 the actor NN is not updated at each TTI. However, we are interested in exploiting the performance of the configuration 853 that provides the lowest complexity ($L_H = 1, N_l = 150$). The 854 additional execution overhead required by this configuration 855 the scheduling process is about 70% in the learning stage 856 in (6% for the forward propagation and 64% for the backward 857 858 propagation) for both actor and critic neural networks. In the 859 exploitation stage, the additional complexity is 3% since only 860 the actor NN is used.

861 B. Exploitation Stage

In the exploitation stage, the performance of the proposed 862 ML-based scheduling solution is analyzed when using the con-863 figuration of $L_H = 1$ and $N_l = 150$. The proposed CACLA 864 framework is compared with FLS [20], RADS [21] and SP 865 schemes. Among other scheduling approaches, RADS and 866 FLS schedulers are time efficient and target a multitude of 867 QoS objectives divided between time and frequency schedul-868 869 ing domains. The TP stage for FLS estimates the amount of 870 real-time data to be transmitted in the next frame based on 871 discrete-time linear control theory arguments. Then, the real-⁸⁷² time flows are prioritized based on the approximated quota of 873 data necessary to meet the delay constraints. The configuration details on this controlling loop can be found in [20]. The TP 874 875 stage of RADS scheme is conducted based on a function that 876 considers the fairness, delay and user rates in order to create an inter-class user prioritization at each TTI. The number of users 877 878 to be passed to the FP scheduler at each TTI must be a priori $_{879}$ configured. For our simulations, a maximum number of U/2880 users show the best performance when measuring the average ⁸⁸¹ scheduling time when the heterogeneous QoS requirements are respected. For SP scheme, TP domain considers a static prioritization between different classes at each TTI as presented in Section IV-A. In the frequency domain, FLS employs the PF scheduler to improve the fairness between users preselected in the TP stage, whereas RADS and SP make use of the OPLF scheduler to enhance the PLR performance.

In order to measure the performance of the proposed solution in real time scheduling, three types of evaluations are 889 considered: intra-class, aggregate and inter-class. For the intra-890 class evaluation (Figures 4.a, 4.b, 4.c), the aim is to measure 891 the performance when scheduling the UHD VR-based live 892 video traffic only. In this case, we evaluate the intra-class QoS 893 provisioning, throughput and PSNR depending on U_1 number 894 of UHD VR connections, where U_1 represents a ratio of 20% 895 from the total number of heterogeneous users $(U_1 = 1/5 \cdot U)$. 896 The aggregate evaluation (Fig. 4.d) aims to measure the overall 897 scheduling performance in terms of heterogeneous QoS pro- 898 visioning as a function of the total number of active users U. 899 The intra-class evaluation (Fig. 4.e and Fig. 4.f) presents the 900 over-provisioning effect by considering the PLR performance 901 of each scheduler per different traffic class. Finally, in Fig. 5 902 we analyze the execution overhead required by each scheduler 903 while varying the number of heterogeneous users. 904

Figure 4.a presents the normalized scheduling duration ⁹⁰⁵ when all QoS objectives (in terms of GBR, delay and PLR) ⁹⁰⁶ are respected for the UHD VR-based live streaming traffic ⁹⁰⁷ only. As expected, the SP scheme provides the highest possible performance as it gives the highest priority to the UHD ⁹⁰⁹ VR-based live streaming traffic at all times. For the entire user ⁹¹⁰ range, CACLA performs much better than FLS and RADS by ⁹¹¹ obtaining gains in excess of 100% when serving more than ⁹¹² six UHD VR-based live video connections. ⁹¹³

The Cumulative Distribution Function (CDF) of user 914 throughput is determined at the end of the exploitation stage 915 (for each configuration in terms of the number of users) based 916 917 on the throughput values collected from each user at each 918 TTI. Looking at the 5th percentile of user throughput from the 919 CDF curve (worst user throughput) for the UHD VR-based ⁹²⁰ live streaming traffic (Fig. 4.b), smooth degradation can be observed in the case of CACLA scheme compared to SP when 921 ⁹²² the number of UHD VR-based live streaming users goes above seven. When scheduling more than five users from the first 923 924 class, RADS and FLS aim to focus more on scheduling lower 925 priority users by degrading the user throughput of the first 926 prioritized traffic class. As seen in Fig. 4.b, when scheduling 927 eight UHD VR users, CACLA outperforms FLS and RADS by ⁹²⁸ more than 1Mbps and 2Mbps, respectively. For ten users, the ⁹²⁹ gain gets much higher at about 3Mbps and 5Mbps, respec-930 tively. This is because when the number of heterogeneous 931 users gets very high, CACLA aims at working similarly to ⁹³² the SP scheme by providing a much higher prioritization to ⁹³³ the UHD VR connections.

Figure 4.c presents the performance of the 5th percentile 934 935 PSNR in order to highlight the worst user PSNR performance when experiencing UHD VR content. This choice is motivated 936 ⁹³⁷ by the fact that PSNR is considered as one of the most popular ⁹³⁸ objective QoE indicators used to evaluate the user perceived 939 quality for video services [15]. Based on the evaluation provided in [37], an excellent Mean Opinion Score (MOS) can 940 be obtained when $PSNR_{dB} \ge 36$ while an acceptable MOS 941 considered when $29 \leq PSNR_{dB} < 36$. Thus, a very good 942 is 943 MOS performance for CACLA is obtained when scheduling ⁹⁴⁴ less than eight users while an acceptable level can be attained 945 for more than eight UHD VR users. When employing RADS ⁹⁴⁶ and FLS schedulers, the best MOS performance is obtained ⁹⁴⁷ for $U_1 \in [2, 5]$, an acceptable MOS value when $U_1 = 6$ and ⁹⁴⁸ poor and even bad MOS levels are obtained when $U_1 > 6$. When $U_1 > 9$, CACLA obtains gains higher than 50% when 949 950 compared to FLS and RADS in terms of the worst user PSNR. When all the traffic classes are considered, we present in 951 952 Fig. 4.d the performance when provisioning heterogeneous 953 QoS. We monitor the number of TTIs when all users meet their QoS requirements by using the priority policies given by 954 SP, RADS, FLS and CACLA. It can be noticed that SP is not 955 956 able to provide an acceptable QoS level when scheduling more 957 than 20 heterogeneous users. In this case, CACLA can achieve ⁹⁵⁸ up to 50% more time when the heterogeneous QoS objectives are achieved. When reporting to RADS and FLS, CACLA can 959 960 obtain gains higher than 100% for a range of scheduled users of $U \in [20, 40]$. When the number of users start to increase 961 $_{962}$ (U > 45), the achievement of QoS objectives gets close to the saturation. Consequently, CACLA aims to prioritize more the 963 UHD VR traffic class as showing in Figures 4.b and 4.c. 964

For each traffic class, we monitor PLR values of each user at each TTI. At the end of each exploitation simulation, we compute the CDF curves for each of these classes in order to get the worst user percentiles of PLR. When compared to user throughput and PSNR, the worst PLR percentiles are found at ro the upper limit of the CDF curve. Figure 4.e analyses the interrol class performance when averaging the 95th PLR percentiles for each traffic class over the range of $U \in [10, 30]$. When employing CACLA-based scheduling solution, up to 30 UHD VR connections can be supported (the PLR requirements are



Fig. 5. System complexity of involved schedulers.

met) in the network while providing the requested PLR levels $_{975}$ of other services. For this range, SP is over-provisioning the $_{976}$ UHD VR traffic class being unable to assure the requested $_{977}$ PLR for other traffic classes. RADS and FLS are unable to $_{978}$ respect the PLR requirement of UHD VR traffic class (10^{-3}) $_{979}$ when the worst user PLR is monitored. $_{960}$

As stated previously, the RADS and FLS prioritization 981 schemes are unable to react to the changeable networking 982 conditions in terms of the number of active users U, variable 983 arrival bit rates when generating the traffic, and wireless chan- 984 nel conditions. Thus, some traffic classes are over-provisioned 985 while others may have degraded QoS performance. Figure 4.f 986 demonstrates the aforementioned statement. The inter-class 987 performance when averaging the 95th PLR percentile for each 988 traffic class over the range of $U \in [31, 50]$ is analyzed. This 989 is achieved in order to monitor the behavior of each scheme 990 when the heterogeneous QoS provisioning is getting closer to 991 the saturation level due to the increase in number of users. 992 As seen from this figure, FLS is over-provisioning the video 993 and VoIP classes while degrading the QoS performance of 994 the UHD VR-based live streaming traffic. As expected, the 995 SP scheme prioritizes UHD VR users while drastically penalizing the rest of the traffic classes. CACLA prioritizes more 997 the UHD VR-based live streaming class when the number of 998 users is increasing, while it aims to give enhanced inter-class 999 fairness when the number of users is lower and the QoS pro- 1000 visioning can be attained for each class as shown in Fig. 4.e. 1001 This is possible due to the adaptation capability of this policy 1002 when the number of users increases/decreases. The impact of 1003 the scheduling rule adaptability based on channel conditions 1004 and application characteristics is highlighted in Fig. 4.e, where 1005 CACLA is able to obtain better PLR performance than FLS 1006 and RADS while the PLR requirements for other classes are 1007 respected by all these candidates. The RADS scheme shows a 1008 notable limitation in Fig. 4.f due to the prioritization scheme 1009 used in time domain. A certain level of inter-class fairness 1010 can be observed but at lower PLR levels when compared to 1011 CACLA, even if the PLR minimization is considered in the 1012 frequency domain since the OPLF scheduler is employed. 1013

Figure 5 represents the complexity analysis of the previously 1014 analyzed scheduling schemes. The complexity analysis mea- 1015 sures the number of clock ticks elapsed for the TP and FP 1016 stages divided to the total number of clocks within one second 1017 and averaged over the exploitation stage duration (in seconds). 1018 1019 Below twenty aggregate users, FLS and RADS are less time 1020 consuming since the frequency domain scheduling is per-1021 formed for a less number of users than that of SP and CACLA 1022 schemes. Since the networking conditions permit, CACLA and SP perform the FP stage for all four traffic classes. However, a 1023 1024 slight complexity increase is required by the traffic class selec-1025 tion procedure when performing CACLA scheduling. Above 1026 this level of 20 aggregate users, SP solution gets the lowest 1027 complexity since only the first prioritized class (live UHD VR 1028 video users) is sent to the FP domain (see correlation with 1029 Fig. 4.a and Fig. 4.d.). Starting from the level of 30 heteroge-1030 neous users, RADS becomes a better option than FLS since ¹⁰³¹ the TP stage pre-selects a lower number of users to be sent in 1032 the frequency domain. At this point, RADS and FLS provide a 1033 complexity gain of 11.1% when compared to CACLA. As seen 1034 from Fig. 4.d, in the range of [30, 40] users, CACLA obtains 1035 gains in excess of 100% in terms of heterogeneous QoS pro-1036 visioning when compared to FLS and RADS. However, this ¹⁰³⁷ performance comes at the expense of the complexity increase 1038 as depicted in Fig. 5. Since the FP stage is performed for all 1039 traffic classes at almost each TTI, CACLA needs additional 1040 time resources in proportion of 20% to complete its tasks when compared to FLS, while the extra complexity require-1041 1042 ment exceeds 30% when compared to RADS. Above this level, 1043 the complexity required by CACLA starts to stabilize or even 1044 to decrease since it behaves more like a SP scheme, while the 1045 FLS complexity becomes higher.

1046 C. Practical Implications

According to our findings, some aspects must be considered 1047 1048 when employing a RL-based scheduling solution for traffic pri-1049 oritization, user scheduling and resource allocation in practice, 1050 such as: the training data set, the state space pre-processing, the controller configuration and termination condition for the 1051 1052 learning stage. In order to get a generalised training data set, 1053 the training samples must consider variable number of users 1054 and changed at certain time intervals for each traffic class. Moreover, different speed levels and direction models should 1055 1056 be considered for mobile users in order to explore a high variety of channel conditions. Under its original form, the 1057 1058 training data-set is multidimensional and variable, depend-1059 ing on the number of active users that may change over 1060 time. Therefore, some pre-processing methods are necessary to 1061 compress the dimension of input state to some constant repre-1062 sentations. Statistical methods can be used to get the mean and 1063 standard deviation values for the QoS indicators (i.e., packet 1064 loss, delay, throughput, etc.) for each traffic class [18]. Also, 1065 supervised learning can be used to classify the CQI reports 1066 in given patterns for users of each traffic class [31]. The 1067 optimal configuration of RL controller depends on the num-1068 ber of traffic classes and scheduling rules. When the number 1069 of traffic classes increases, higher number of hidden lavers 1070 and nodes can be required with respect to some complexity 1071 constraints. Additionally, the output layer for the actor neural 1072 network must be properly managed and decoded in traffic class 1073 and scheduling rule selection as the size of the action space 1074 increases. During learning, both critic and actor errors must be monitored. In case of over-fitting (error increases above given 1075 threshold), the weights should be saved and learning process 1076 stopped. Otherwise, learning can continue for a number of 1077 iterations (TTIs) a priori established.

VI. CONCLUSION 1079

This paper proposes an intelligent Machine Learning- 1080 based scheduling solution which makes use of Reinforcement 1081 Learning by employing CACLA, to react to the changeable 1082 networking conditions and take the best decisions in order to 1083 improve the fraction of time (in TTIs) when the QoS require- 1084 ments are met for diverse services. Thus, the algorithm decides 1085 at each TTI the traffic class prioritization and the type of 1086 scheduling rule to be employed. Different traffic classes are 1087 dynamically prioritized such that the over-provisioning effect 1088 for some applications is avoided, whereas radio resources are 1089 intelligently managed by choosing the best scheduling rule for 1090 user scheduling and resource allocation. The proposed solu- 1091 tion is deployed in a very challenging dynamic environment 1092 in which UAV performs UHD VR-based live video streaming 1093 to ground users. The proposed solution was evaluated through 1094 simulations and compared against other three state-of-the-art 1095 scheduling algorithms, such as: SP, RADS and FLS. The sim- 1096 ulation results indicate that the proposed CACLA-based RL 1097 scheduling solution outperforms the other schemes involved 1098 while considering four perspectives: a) CACLA outperforms 1099 RADS and FLS in terms of packet loss, delay, throughput 1100 and PSNR when considering UHD VR-based users only; 1101 b) when considering a mixture of users requesting heteroge- 1102 neous services, CACLA shows gains in excess of 100% by 1103 measuring the fraction of TTIs when the heterogeneous QoS 1104 requirements are respected; c) by measuring the inter-class 1105 packet loss, CACLA can accommodate a higher number of 1106 UHD VR users in the network, while SP and FLS prioritization 1107 schemes are over-provisioning some traffic classes; d) CACLA 1108 provides the best performance vs. complexity tradeoff. 1109

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An Innovative Machine-Learning-Based Scheduling Solution for Improving Live UHD Video Streaming Quality in Highly Dynamic Network Environments

Ioan-Sorin Comșa, Gabriel-Miro Muntean[®], Senior Member, IEEE, and Ramona Trestian[®], Member, IEEE

Abstract—The latest advances in terms of network technologies 2 open up new opportunities for high-end applications, including ³ using the next generation video streaming technologies. As mobile 4 devices become more affordable and powerful, an increasing 5 range of rich media applications could offer a highly realis-6 tic and immersive experience to mobile users. However, this 7 comes at the cost of very stringent Quality of Service (QoS) ⁸ requirements, putting significant pressure on the underlying 9 networks. In order to accommodate these new rich media appli-10 cations and overcome their associated challenges, this paper 11 proposes an innovative Machine Learning-based scheduling solu-12 tion which supports increased quality for live omnidirectional 13 (360°) video streaming. The proposed solution is deployed in a 14 highly dynamic Unmanned Aerial Vehicle (UAV)-based environ-15 ment to support immersive live omnidirectional video streaming 16 to mobile users. The effectiveness of the proposed method is 17 demonstrated through simulations and compared against three 18 state-of-the-art scheduling solutions, such as: static Prioritization 19 (SP), Required Activity Detection Scheduler (RADS) and Frame 20 Level Scheduler (FLS). The results show that the proposed solu-21 tion outperforms the other schemes involved in terms of PSNR, 22 throughput and packet loss rate.

Index Terms—Omnidirectional video, live streaming, QoS,
 machine learning, radio resource management, UAV.

I. INTRODUCTION

G LOBAL mobile video traffic continues to grow exponentially, especially with the introduction of Ultra-High-Definition (UHD) or so called 4K video streaming applications. This new application category puts tremendous pressure on the current underlying networks as the average bit rate for 4K video is around 15 to 18Mbps, which is more than double the High Definition (HD) video bit rate and nine times more than the Standard Definition (SD) video bit rate [1].

Additionally, the increasing adoption of new Virtual Reality (VR) and Augmented Reality (AR) enabled high-end mobile

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UAV/Drone 360° camera Live Streaming Ku User VR USER V

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Fig. 1. Highly dynamic immersive live UHD streaming example scenario.

devices together with the increasing amount of content ready to be consumed pushes the current 4G networks closer to their saturation. It is expected that the VR/AR generated traffic to continue to follow a high growth trajectory especially with the potential adoption of virtual reality streaming [1] that opens up a new era of 5G-based media services. Moreover, Cisco [1] 41 also predicts that live Internet video will account for 17% of the Internet video traffic by 2022 with IP video traffic reaching 82% of all IP traffic globally.

Consequently, in order to keep up with the current and pre-45 dicted traffic demands, the network operators have already 46 started an accelerated roll-out of 5G communications. As 47 the new 5G technology targets high data rate and very low 48 latency, it opens up a new range of applications starting 49 from immersive augmented reality to driverless cars or even 50 robot-enabled remote surgery. According to Cisco, by 2022, 51 5G devices and connections will represent more than 3% of 52 global mobile devices and connections, with 12% of the global 53 mobile traffic being generated over the 5G cellular network [1]. 54 However, the network operators need to demonstrate that the 55 tremendous potential of the 5G deployment could meet the 56 users' expectations. The challenge is magnified even further 57 especially given the current wide and diverse range of appli-58 cations with different Quality of Service (QoS) requirements 59 which need to be supported on a heterogeneity of end-60 user hardware platforms. Applications such as live network 61 streaming require low latency and jitter, whereas, reliability 62 is needed for applications such as file transfer which cannot 63

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64 tolerate packet loss or high delay. As most applications require 65 end-to-end network support, this hampers the potential devel-66 opment and advantages of new applications. Consequently, it 67 becomes obvious that just increasing the system capacity is 68 not enough to meet the heterogeneous QoS requirements for 69 all mobile users at the same time. This is mainly due to the 70 increasing popularity of bandwidth-hungry applications (e.g., 71 multimedia-based applications), limited radio resources and 72 changeable wireless network conditions. Thus, along with the 73 next generation networks deployment, new emerging technolo-74 gies and solutions are being explored to help network operators cope with such high traffic demands, such as: integration 75 to 76 of MPEG-DASH [2] as the de-facto video delivery mecha-77 nism, Advanced Television Systems Committee (ATSC) 3.0 78 standard [3], evolved Multimedia Broadcast/Multicast Service 79 (eMBMS) [4], Further eMBMS (FeMBMS) and New Radio 80 MBMS (NR-MBMS) [5], mmWave communications [6], satel-81 lite back-haul [7], Software Defined Networks (SDN) and 82 Network Function Virtualisation (NFV) [8], [9], Mobile Edge 83 Computing (MEC) [10], Unmanned Aerial Vehicle (UAV) or ⁸⁴ drones [11], machine learning [12], etc. As a potential use 85 case of UAV, Mangina et al. [13] make use of drones for live ⁸⁶ streaming for people with limited mobility, so that they could 87 enjoy the immersion as if they were present at the specific ⁸⁸ location. The aim of this framework is to use the technology 89 to enable opportunities for communication and self expression 90 of people of all levels of physical and cognitive ability.

This work focuses on a highly dynamic mobile scenario 91 ⁹² involving high bitrate live video streaming, as the one illus-⁹³ trated in Fig. 1. In this scenario, an UAV equipped with an 94 omnidirectional (360°) camera is used to send 4K/8K video ⁹⁵ captured in real time from a live event taking place for instance 96 in a stadium, to a MEC server attached to a 5G network. VR-97 enabled users get the live video stream served via the 5G ⁹⁸ network and expect to enjoy a high quality video experience, ⁹⁹ as if they were present at the venue. However, to be able create a high quality immersive experience for the remote 100 to 101 users, the network operators need to guarantee low latency 102 and packet loss, and high throughput while also accommodat-103 ing other traffic classes. Unfortunately, this is not possible to ¹⁰⁴ achieve with conventional resource management methods.

In this context, this paper proposes and describes an innovative Machine Learning (ML)-based scheduling solutor tion for radio resource management to improve signifitom cantly QoS provisioning and increase users' Quality of Experience (QoE) levels in the presence of heterogeino neous traffic. The proposed solution targets particularly thighly challenging scenarios which involve live streamting of very high bitrate video in highly dynamic network the environments.

The remainder of this article is organized as follows: 115 Section II discusses important related works in this area 116 and Section III presents an overview of the proposed solu-117 tion. Section IV details the proposed innovative ML-based 118 scheduling solution for increased quality of live high bitrate 119 video streaming in highly dynamic network environments 120 and presents the associated problem formulation. Evaluation 121 results are discussed in Section V in comparison with those of alternative solutions and finally, conclusions are drawn in 122 Section VI. 123

II. RELATED WORKS

A key challenge for network operators is to provide ubiquitous connectivity to different device types and applications with heterogeneous QoS requirements. This challenge is amplified by the increasing popularity of multimediabased bandwidth-hungry applications with strict QoS requirements that stretch the current 4G networks closer to saturation. Consequently, to be able to accommodate all these new immersive live streaming applications, known for being bandwidth-hungry and having low-latency and packet loss requirements [14], advanced solutions must be adopted to maintain increased QoE for end-users, since QoE is expected to become the biggest differentiator between network operators [15].

An important component that is expected to be integrated 138 within the 5G and beyond 5G networks is the use of UAV [16]. 139 Apart from facilitating temporary radio access and Internet 140 connectivity, UAVs could also be used to facilitate live video 141 broadcasting and enable support for high data rate transmis- 142 sions [11]. However, to accommodate a high number of users 143 with enhanced QoE levels within the 5G radio access network, 144 system bandwidth needs to be properly managed. According 145 to [17], two adaptation methods classes can be considered to 146 deal with the bandwidth efficiency in order to improve QoS 147 and QoE, such as: passive and active. The active approaches 148 aim to improve the bandwidth allocation by using scheduling 149 algorithms, whereas passive ones refer more to bandwidth- 150 compliant adaptation techniques that adapt the multimedia 151 transmission to the available bandwidth. 152

As an active adaptation entity, the packet scheduler is 153 responsible for dynamically sharing the system bandwidth 154 between the end-users such that the QoS provisioning is max- 155 imized. Different scheduling strategies are proposed in the 156 literature to deal with QoS targets [18]. A scheduler that 157 encapsulates the features of different scheduling strategies 158 is proposed in [19] for 3G downlink systems to assure the 159 multidimensional QoS provisioning under varying traffic and 160 radio channel conditions. However, most of the state-of-the- 161 art schedulers targeting multidimensional QoS requirements 162 aim to prioritize some traffic classes while ignoring others. 163 For instance, Frame Level Scheduler (FLS) [20] prioritizes 164 real-time traffic (e.g., video, voice, gaming) over the more 165 elastic traffic classes (e.g., file transfer, HTTP). In contrast, 166 Required Activity Detection (RADS) [21] prioritizes a group 167 of users according to their packet delay and fairness crite- 168 rion. However, most of the prioritization schemes are unable 169 to react to the dynamics of the wireless environment, such 170 as: increasing number of users, various traffic characteristics, 171 and changeable network conditions. As a consequence, some 172 traffic classes are over-provisioned while others may have a 173 degraded QoS. 174

A passive method used for traffic prioritization and bandwidth adaptation is proposed in [17] to manage the transmission of massive clinical applications in high-speed ambulance scenario under variable and limited communication bandwidth. 178



Fig. 2. Proposed 5G UAV-based live streaming framework.

179 The approach works in two stages: a) the clinical multimedia 180 data is prioritized in four classes based on the disease model and the criticality of each model; b) according to the avail-181 182 able bandwidth, different heuristic algorithms are proposed to 183 reduce the clinical data rates according to their priority class. The evaluations show the effectiveness of this approach by 184 185 transferring the most critical information within the limited 186 bandwidth. By focusing only on QoE improvement, the system 187 bandwidth can remain underutilized. In this sense, a passive 188 adaptation scheme is proposed in [22] to facilitate the video 189 rate adaptation by considering the physical layer information enable accurate bandwidth estimation. The latest network 190 to advancements need to accommodate advanced applications 191 192 and services with very high data rates and extremely low ¹⁹³ latency. Wang et al. [23] propose the use of fog networking coordinate a network of drones equipped with cameras to 194 to broadcast live events. The objective of the proposed framework 195 to maximizing the coverage area as well as the available 196 is throughput for high-quality video streaming to video servers. 197 In terms of Radio Resource Management (RRM) and QoS 198 ¹⁹⁹ provisioning, classical RRM functionalities would not be able 200 to meet the stringent QoS requirements of all these immersive live streaming applications while also catering for the 201 202 rest of application classes. In the context of 5G, ML is cur-203 rently gaining considerable attention as it is seen as one of ²⁰⁴ the key enablers for QoS provisioning [12], [18], [24]–[26] as 205 well as for the development of intelligent services for smart ²⁰⁶ cities [27]. An autonomous network resource management for 207 QoS and QoE provisioning is proposed in [12] to predict the 208 amount of network resources that needs to be allocated to 209 cope with the traffic demands for live and on-demand dynamic 210 adaptive streaming over HTTP. Machine learning is used to ²¹¹ optimize the scheduling and resource allocation problems in ²¹² 5G radio access networks focusing on different combinations ²¹³ of QoS objectives, such as: throughput, delay and packet loss ²¹⁴ in [18], packet loss and delay in [24], system throughput and 215 user fairness in [25]. However, these ML-based scheduling

solutions are designed for homogeneous traffic types only. ²¹⁶ The ML framework proposed in [26] aims to optimize the ²¹⁷ resource and power allocation problem for heterogeneous traffic with the scope of improving the delay of Ultra-Reliable and ²¹⁹ Low-Latency Communications (URLLC) users and throughput ²²⁰ of enhanced Mobile Broadband (eMBB) users. Compared to ²²¹ previous works, this paper proposes a ML-based scheduling ²²² and resource allocation solution to enable high level of QoS ²²³ provisioning for mobile users experiencing UAV VR-based ²²⁴ live video content while maintaining an acceptable service ²²⁵ quality of other traffic types with diverse QoS requirements. ²²⁶

- To this extent, the contributions of this paper are two fold: 227
- an innovative ML-based scheduling solution to enable 228 QoS provisioning for Ultra High Definition video streaming in highly dynamic network environments; 230

 a QoS-oriented UAV-based integrated system for enabling ²³¹ high quality levels for immersive live video streaming. ²³² The benefits of the proposed ML-based solution compared ²³³

to other state-of-the-art schedulers are summarized as follows: 234

- enhanced QoS provisioning (in terms of delay, throughput and packet loss requirements), higher throughput and Peak Signal-to-Noise Ratio (PSNR) for users requesting UHD VR-based live video;
- gains in excess of 100% when monitoring the time frac- ²³⁹ tion when the heterogeneous QoS requirements are met ²⁴⁰ in a mixture of services with various QoS requirements; ²⁴¹
- improved inter-class fairness by respecting over time the 242 standard prioritization order; it can accommodate a higher 243 number of UHD VR video connections and avoids the 244 over/under-provisioning of other traffic classes. 245

III. PROPOSED FRAMEWORK FOR UAV-BASED 4K 246 STREAMING 247

The main components of the proposed quality and ²⁴⁸ performance-oriented system for high quality live video ²⁴⁹ streaming are illustrated in Fig. 2. The figure presents a very ²⁵⁰

²⁵¹ challenging deployment involving a UAV with a 360° cam-²⁵² era, a MEC server, a 5G intelligent packet scheduler and VR ²⁵³ users. The UAV has a 360° spherical camera that records a ²⁵⁴ live event (e.g., football games, concerts, festivals, etc.). The 255 UAV communicates via the 5G network on the ground to send 4K/8K UHD video to the MEC server. For simplicity, 256 is assumed that there is no loss on the communication link it 257 between the UAV and the MEC server. The MEC server will 258 then stream live the UHD video content to the users. However, 259 260 in order to accommodate a heterogeneous traffic mix with different QoS requirements, an intelligent ML-based packet 261 262 scheduler is proposed to enable high QoS provisioning for ²⁶³ different traffic classes, including for live high bitrate video 264 streaming. The mix of traffic can consider the 5G services 265 and use cases such as eMBB, URLLC and massive Machine Type Communications (mMTC) as well as other types of 4G 266 ²⁶⁷ related services with more relaxed QoS requirements.

The role of the packet scheduler is to allocate the avail-268 ²⁶⁹ able frequency resources to active users within a given cell to 270 improve as much as possible the fraction of scheduling time when the QoS requirements are met for each traffic type. The 271 scheduling process is conducted at each Transmission Time 272 Interval (TTI) and usually works in two steps: a) Time-based 273 Prioritization (TP) where a group of users with more stringent 274 275 QoS requirements is prioritized among other users with more 276 relaxed QoS constraints and b) Frequency-based Prioritization 277 (FP) that aims to allocate the radio resources in order to 278 increase the QoS provisioning in terms of delay, packet loss 279 and rate requirements for the pre-selected group of users. While time prioritization is seen as an outer QoS provisioning 280 scheme for all traffic classes based on a given priority order, 281 ²⁸² frequency prioritization acts as an inner QoS provisioning 283 scheme for the pre-selected users. Consequently, the sched-284 uler will prioritize data packets in both time and frequency 285 domains based on current networking conditions that may ²⁸⁶ change at each TTI, including: number of users for each traffic 287 class, QoS profiles, heterogeneous QoS parameters, VR live 288 streaming characteristics, channel conditions, etc. However, many existing scheduling schemes are not able to adapt to the 289 290 dynamic and unpredictable networking conditions [18]. For 291 instance, some time-based prioritization schemes aim to over-²⁹² provision some traffic classes while degrading the performance ²⁹³ of others [20], [21], whereas the frequency-based prioritization 294 techniques will address only particular QoS requirements at ²⁹⁵ any time [18]. In order to avoid these drawbacks, the proposed 296 scheduling solution is flexible, being able to adapt according to the current network conditions in order to enhance the frac-297 298 tion of time when the heterogeneous QoS requirements are 299 respected.

Since live UHD VR-based video streaming has strict QoS requirements with data rates at least twenty times greater than or conventional applications [1], the best practice would be to decide at each TTI the most suitable traffic class to be prioritized in order to: a) meet the very stringent QoS requirements of live UHD VR-based traffic and b) avoid the starvation effect for other types of applications. In the frequency domain, the most suitable scheduling rule is selected to improve the QoS provisioning for each selected traffic class. Therefore, an intelligent ML-based solution is introduced to learn over time and propose the most suitable prioritization decisions based on current scheduler states. Therefore, this paper proposes an innovative ML-based scheduler for heterogeneous traffic in Orthogonal Frequency Division Multiple Access (OFDMA) downlink systems. The proposed ML-based scheduling solution is able to take each time two scheduling decisions in order to increase the amount of time when all QoS requirements are met. This two-dimensional decision prioritizes a certain traffic class at each TTI and decides the scheduling rule that allocates the available bandwidth to users of the pre-selected class in the frequency domain.

332

As previously stated, the proposed ML-based scheduler (see ³²³ Fig. 2) is able to select at each TTI the most suitable traffic ³²⁴ class to be prioritized in time domain and the best scheduling ³²⁵ rule for the user prioritization in frequency domain in order ³²⁶ to improve the QoS provisioning. These decisions could be ³²⁷ taken based on various parameters, such as: wireless channel conditions, application requirements, traffic characteristics, ³²⁹ users' profile, device types, etc. The details of the ML-based scheduler are presented next in this section. ³³¹

A. Prioritization-Based Scheduling

In frequency domain, it is considered that the available 333 bandwidth is divided in equal Resource Blocks (RBs), the 334 smallest radio resource that can be allocated by the Base 335 Station (BS) to the user (see Fig. 2). We define by $\mathcal{B} = {}_{336}$ $\{1, 2, \dots, B\}$ the set of available RBs in a given bandwidth. To 337 get the necessary bandwidth needed to accommodate a high 338 number of UHD VR-enabled live video streaming connections, 339 we aggregate multiple radio bandwidths. Each User Equipment 340 (UE) is characterized by a single traffic class, with a given 341 priority and a QoS profile in terms of delay, packet loss and 342 throughput requirements. Multiple UEs may request different 343 services with heterogeneous QoS requirements. A successful 344 scheduler should be able to accommodate UHD VR-based live 345 services as well as other conventional traffic types (e.g., video, 346 voice, file transfer, etc) without penalizing one over the other. 347 The list of symbols used in this paper is presented in Table I. 348

Let us consider *P* the number of traffic classes with different QoS profiles. We define by $\mathcal{P} = \{1, 2, ..., P\}$ the priority ³⁵⁰ set such that traffic class 1 has the highest priority (i.e., UHD ³⁵¹ VR-based live streaming traffic) while traffic class *P* has the ³⁶² lowest priority. The *Static prioritization (SP)* is defined according to the 3GPP guidelines [28] as follows: regardless of the ³⁶⁴ network conditions, the scheduling process respects the priority set $\mathcal{P} = \{1, 2, ..., P\}$ for the entire downlink transmission ³⁵⁵ esssion. Let us define the set of active users for all classes ³⁵⁷ as $\mathcal{U} = \{\mathcal{U}_1, \mathcal{U}_2, ..., \mathcal{U}_P\}$, where \mathcal{U}_p is the subset of users ³⁵⁸ corresponding to traffic class $p \in \mathcal{P}$. We denote by \mathcal{U}_p the ³⁵⁹ number of users belonging to class $p \in \mathcal{P}$, while by \mathcal{U} , the ³⁶⁰ total number of active users from all classes. Moreover, the ³⁶¹ set of heterogeneous QoS objectives in terms of their requirements' accomplishment is defined as $\mathcal{O} = \{\mathcal{O}_1, \mathcal{O}_2, ..., \mathcal{O}_P\}$, ³⁶³

| Parameter | Description | | | |
|-------------------------|---|--|--|--|
| A | Discrete and two-dimensional controller action space | | | |
| $\mathbf{a}[t]$ | Current action $\mathbf{a} \in \mathcal{A}$ decided at TTI t | | | |
| B | Set of resource blocks from different carriers | | | |
| b | Random resource block $b \in \mathcal{B}$ | | | |
| B | Max. no. of resource blocks | | | |
| E_{c} | Error of critic neural network | | | |
| E_a | Error of actor neural network | | | |
| L_H | Number of hidden layers | | | |
| $m_{b,p,u}$ | Metric of user $u \in \mathcal{U}_p$ on RB $b \in \mathcal{B}$ | | | |
| N_l | Number of nodes corresponding to layer l | | | |
| \mathcal{O} | Set of heterogeneous objectives | | | |
| ${\mathcal O}_p$ | Set of objectives corresponding to class p | | | |
| 0 | Objective index belonging to a given set \mathcal{O}_p | | | |
| O_p | Number of QoS objectives for the traffic class $p \in \mathcal{P}$ | | | |
| \mathcal{P} | Set of traffic classes in the priority order given by [28] | | | |
| p | Random traffic class $p \in \mathcal{P}$ | | | |
| P | Max. no. of traffic classes | | | |
| $\mathcal R$ | Set of scheduling rules | | | |
| r | Random scheduling rule $r \in \mathcal{R}$ | | | |
| R | Max. no. of scheduling rules from \mathcal{R} | | | |
| S | Continuous and multi-dimensional scheduler state space | | | |
| $\mathbf{s}[t]$ | Current scheduler state $\mathbf{s} \in \mathcal{S}$ at TTI t | | | |
| \mathcal{U} | Set of heterogeneous users | | | |
| \mathcal{U}_p | Set of users corresponding to class p | | | |
| u | User index belonging to a given class \mathcal{U}_p | | | |
| U_p | Number of active users from \mathcal{U}_p | | | |
| U | Total number of heterogeneous users | | | |
| $\underline{x}_{o,p,u}$ | QoS indicator of $o \in O$ and user $u \in U_p$ | | | |
| $x_{o,p,u}$ | Qos requirement of $o \in \mathcal{O}$ and user $u \in \mathcal{U}_p$ | | | |
| $1_{d,u}$ | Utility function of rule $r \in \mathcal{R}$ and user $u \in \mathcal{U}_p$ | | | |
| $\rho t+1 $ | System reward value received at $TTT t+1$ | | | |

TABLE I LIST OF NOTATIONS

³⁶⁴ where \mathcal{O}_p is the set of objectives for class $p \in \mathcal{P}$. It is said ³⁶⁵ that set \mathcal{O}_p is met if the delay, packet loss and throughput ³⁶⁶ requirements are respected by all active users belonging to ³⁶⁷ traffic class $p \in \mathcal{P}$.

In frequency domain, the process of user scheduling and 368 ³⁶⁹ resource allocation is conducted according to a given schedul-370 ing rule that is oriented on a particular QoS objective or on group of QoS objectives. We define the set of scheduling 371 a ³⁷² rules as $\mathcal{R} = \{1, 2, \dots, R\}$, where R represents the maximum number of rules. Assuming that a SP scheme is employed at 373 $_{374}$ this stage at each TTI, the set of active users \mathcal{U}_1 is passed in the frequency domain for scheduling. Here, a given schedul-375 ³⁷⁶ ing rule $r \in \mathcal{R}$ contributes to the metric computation for each user $u \in \mathcal{U}_1$ on each RB $b \in \mathcal{B}$. Each metric shows how nec-³⁷⁸ essary is for each user $u \in U_1$ to get each resource $b \in \mathcal{B}$ from ³⁷⁹ the perspective of the addressed objective $o \in \mathcal{O}_1$ targeted by ₃₈₀ the scheduling rule $r \in \mathcal{R}$. In the initial phase of scheduling, ³⁸¹ a number of U_1 metrics is computed for each RB $b \in \mathcal{B}$ by ³⁸² summing a total number of $U_1 \cdot B$ metrics. In the second phase, 383 the scheduler allocates each RB $b \in \mathcal{B}$ to the user with the ³⁸⁴ highest metric and the process is repeated RB-by-RB until the 385 entire set \mathcal{B} is allocated. However, some metrics can be zero 386 since the QoS objectives are met or there are not enough pack-387 ets in the queue for some users. If all metrics are equal, then 388 the RB $b \in \mathcal{B}$ remains unoccupied. Finally, the third phase ³⁸⁹ of the scheduling process aims at calculating the size of the 390 transport block for each user scheduled on different RBs and 391 determines the modulation and coding scheme necessary to ³⁹² decode the data at the reception. The scheduling process can ³⁹³ be repeated for the next prioritized class (i.e., p = 2) if some ³⁹⁴ RBs are unoccupied once the users from U_1 are scheduled.

By employing this SP scheme, the UHD VR-based live ³⁹⁵ video streaming traffic is always allocated the best resources ³⁹⁶ while adversely affecting QoS provisioning for other traffic classes. To avoid this fundamental drawback, other traffic ³⁹⁸ classes must be prioritized when network conditions are favorable. Consequently, in this work, the proposed approach aims ⁴⁰⁰ to select at each TTI the traffic class $p \in \mathcal{P}$ in such a way that ⁴⁰¹ the satisfaction of heterogeneous QoS requirements has the ⁴⁰² highest possible outcome under the current networking conditions. In this way, we decide at each TTI the prioritization ⁴⁰⁴ set $\mathcal{P}[t] = \{p, 1, \dots, p-1, p+1, \dots, P\}$, where class $p \in \mathcal{P}$ ⁴⁰⁵ gets as many resources as needed up to the maximum number

gets as many resources as needed up to the maximum number of RBs, whereas other classes receive the remaining resources by following the priority order of $\{1, ..., p-1, p+1, ..., P\}$. 408 Even so, if always applying the same scheduling rule for frequency prioritization, only one objective across all traffic classes would be addressed, while harming the performance 111 of other QoS targets. Consequently, in the frequency domain, 412 our aim is to apply at each TTI the most suitable scheduling rule in order to increase the fraction of time (in TTIs) when 414 the heterogeneous QoS requirements are met.

B. Multi-Class and Multi-Objective Optimization Problem

Let us define by $x_{p,u,o}$ the Key Performance Indicator 417 (KPI) of user $u \in U_p$ and objective $o \in \mathcal{O}_p$ and by 418 $\bar{x}_{p,u,o}$ its associated requirement. It is said that user $u \in U_p$ 419 meets objective $o \in \mathcal{O}_p$ if and only if $x_{p,u,o}$ respects $\bar{x}_{p,u,o}$. 420 Furthermore, let us define the current KPI vector $\mathbf{x}_{p,u}[t] = 421$ $[x_{p,u,o_1}, x_{p,u,o_2}, \dots, \bar{x}_{p,u,O_p}]$ and its associated requirement 422 vector $\bar{\mathbf{x}}_{p,u} = [\bar{x}_{p,u,o_1}, \bar{x}_{p,u,o_2}, \dots, \bar{x}_{p,u,O_p}]$. User $u \in \mathcal{U}_p$ 423 meets all QoS objectives if and only if $\mathbf{x}_{p,u}$ respects the 424 requirement vector $\bar{\mathbf{x}}_{p,u}$. By extending this reasoning, the 425 entire set of objectives is met for each traffic class $p \in \mathcal{P}$, 426 if vector $\mathbf{x}_p[t] = [\mathbf{x}_{p,1}, \mathbf{x}_{p,2}, \dots, \mathbf{x}_{p,U_p}]$ respects its require- 427 ments $\bar{\mathbf{x}}_p = [\bar{\mathbf{x}}_{p,1}, \bar{\mathbf{x}}_{p,2}, \dots, \bar{\mathbf{x}}_{p,U_p}]$. The proposed framework 428 aims to increase the number of TTIs when the KPI vector 429 $\mathbf{x} = [\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_P]$ respects the QoS requirement vector 430 $\bar{\mathbf{x}} = [\bar{\mathbf{x}}_1, \bar{\mathbf{x}}_2, \dots, \bar{\mathbf{x}}_P]$. We formulate in (1) the multi-class and 431 multi-objective optimization problem that aims to determine 432 at each TTI the most convenient traffic class to be prioritized 433 and scheduling rule to be applied in the frequency domain such 434 that vector of QoS indicators x reaches the highest possible 435 outcome when reporting to the vector of QoS requirements $\bar{\mathbf{x}}$. 436

$$\max_{i,j,k} \sum_{r \in \mathcal{R}} \sum_{p \in \mathcal{P}} \sum_{u \in \mathcal{U}_p} \sum_{b \in \mathcal{B}} \sum_{i_{r,p}[t] \cdot j_{p,u}[t] \cdot k_{u,b}[t] \cdot \Gamma_{r,p}(\mathbf{x}_{p,u}[t])$$

$$\times \gamma_{u,b}[t], \qquad (1)$$
437

s.t.
$$\sum_{u} k_{u,b}[t] \le 1, \quad b = 1, \dots, B,$$
 (1a) 439

$$\sum_{p} j_{p,u}[t] \le 1, \quad u = u_1, \dots, u_{U_p}, p = 1, \dots, P, \text{ (1b)} \quad {}_{440}$$

$$\sum_{u} j_{p^*,u}[t] = U_{p^*}, \quad p^* \in \mathcal{P},$$
 (1c) 441

$$\sum_{u} j_{p^{\otimes}, u}[t] = 0, \quad \forall p^{\otimes} \in \mathcal{P} \setminus \{p^*\}, \tag{1d} \quad 442$$

$$\sum_{r} i_{r,p}[t] = 1, \quad p = 1, 2, \dots, P,$$
(1e) 443

444
$$\sum_{p} i_{r^*,p}[t] = P, \quad r^* \in \mathcal{R},$$
 (1f)

445
$$\sum_{p} i_{r^{\otimes},p}[t] = 0, \quad \forall r^{\otimes} \in \mathcal{R} \setminus \{r^*\},$$
(1g

446
$$i_{r,p}[t] \in \{0, 1\}, \quad \forall r \in \mathcal{R}, \forall p \in \mathcal{P},$$
 (1h)

447
$$j_{p,u}[t] \in \{0, 1\}, \quad \forall p \in \mathcal{P}, \forall u \in \mathcal{U}_p,$$
 (1i)

$$k_{u,b}[t] \in \{0, 1\}, \quad \forall u \in \mathcal{U}_p, \forall b \in \mathcal{B}.$$
(1j)

In (1) $\gamma_{u,b}[t]$ is the achievable user rate that quantifies the 449 450 number of bits transmitted if the RB $b \in \mathcal{B}$ would be allo-451 cated to user $u \in U_p$. Basically, $\gamma_{u,b}[t]$ is determined based 452 on the Channel Quality Indicator (CQI), a bandwidth depen-453 dent vector reported by each user $u \in U_p$ to the base station. 454 For each scheduling rule $r \in \mathcal{R}$, a unique utility function 455 $\Gamma_{r,p}(\mathbf{x}_{p,u})$ is associated in order to attenuate the channel vari-456 ations given by $\gamma_{u,b}[t]$ and to provide to the user the priority 457 to be scheduled in the frequency domain. Any utility function ⁴⁵⁸ $\Gamma_{r,p}(\mathbf{x}_{p,u}) : \mathbf{R} \to \mathbf{R}$ must be monotone and concave [29]. The 459 utility functions can be designed in many ways by consid-460 ering different KPIs as arguments with certain impact when ⁴⁶¹ meeting the heterogeneous and multidimensional QoS require-⁴⁶² ments. More examples of utility functions are presented in the ⁴⁶³ next section. When setting the same utility function $\Gamma_{r,p}(\mathbf{x}_{p,u})$ for all traffic classes, no matter what the prioritization set $\mathcal{P}_p[t]$ 464 465 is, the KPI vector **x** respects the requirement vector $\bar{\mathbf{x}}$ in a 466 certain measure. The idea is to select at each TTI the prior-⁴⁶⁷ itization set $\mathcal{P}_{p}[t]$ and the most suitable utility such that the ⁴⁶⁸ QoS provisioning would be maximized.

The traffic class, scheduling rule and radio resources are 469 470 assigned based on the decision variables. In (1), $k_{u,b}[t]$ is the 471 resource allocation variable: $k_{u,b}[t] = 1$ when RB $b \in \mathcal{B}$ 472 is allocated to UE $u \in U_p$ and $k_{u,b}[t] = 0$, otherwise. 473 Constraints in (1a) aim to allocate at most one user to each 474 RB. Variable $j_{p,u}[t]$ assigns each user to a specific traffic class. 475 Constraints (1b) indicate that each user belongs to at most 476 one traffic class. Constraints (1c) and (1d) show that only 477 users from the selected traffic class $p^* \in \mathcal{P}$ are passed in ⁴⁷⁸ the frequency domain. Variable $i_{r,p}[t]$ determines the type of 479 utility to be selected at each TTI. Constraints (1e) indicate that one type of utility function per traffic class is selected 480 each TTI, whereas constraints (1f) and (1g) show that the at 481 482 same scheduling rule is selected for all traffic classes, where ⁴⁸³ variable $r^* \in \mathcal{R}$ is the selected scheduling rule at TTI t and $_{484}$ $r^{\otimes} \in \mathcal{R}$ are the other scheduling rules remained un-selected at 485 TTI t. Constraints (1h), (1i) and (1j) make the entire problem 486 combinatorial.

⁴⁸⁷ Due to very high complexity, solving the optimization ⁴⁸⁸ problem from (1) at each TTI is difficult to achieve. Thus, we ⁴⁸⁹ propose a sub-optimal solution aiming to split this problem in ⁴⁹⁰ two sub-problems: in the first sub-problem, the prioritization ⁴⁹¹ set $\mathcal{P}_p[t]$ is decided and the most appropriated scheduling rule ⁴⁹² $r \in \mathcal{R}$ is assigned; in the second sub-problem, the resource ⁴⁹³ allocation is performed based on the prioritized traffic class ⁴⁹⁴ and selected scheduling rule. For the first sub-problem, we ⁴⁹⁵ propose a ML-based approach [30] to decide at each TTI the ⁴⁹⁶ class $p^* \in \mathcal{P}$ to be prioritized at first and the best fitting ⁴⁹⁷ scheduling rule $r^* \in \mathcal{R}$ for the resource allocation. The second sub-problem aims to solve the user scheduling from U_{p^*} and $_{498}$ the resource allocation based on the selected scheduling rule $_{499}$ $r^* \in \mathcal{R}$ as described in Section IV-A. As a first step of the $_{500}$ scheduling process, we determine the metric $m_{b,p^*,u}$ for each $_{501}$ user $u \in U_{p^*}$ and RB $b \in \mathcal{B}$ at each TTI as follows: $_{502}$

$$m_{b,p^*,u}[t] = \Gamma_{r^*,p^*}(\mathbf{x}_{p^*,u}) \cdot \gamma_{u,b}[t].$$
 (2) 503

As a result, the matrix of metrics $\mathbf{m} = [m_{b,p^*,u}] \in 504$ $\mathbb{R}^{U_{p^*} \times B}$ is computed, where $b = \{1, 2, ..., B\}$ and u = 505 $\{u_1, u_2, ..., u_{U_{p^*}}\}$. For each RB $b \in \mathcal{B}$, a vector of metrics is 506 considered, such as: $\mathbf{m}_b = [m_{b,p^*,u_1}, m_{b,p^*,u_2}, ..., m_{b,p^*,u_{U_{p^*}}}]$. 507 Resource $b \in \mathcal{B}$ is allocated to that user that has the maximum metric value from the vector \mathbf{m}_b , written in the following 509 manner: 510

$$b \mapsto u$$
, if $u = argmax_{u'}(m_{b,p^*,u'}[t])$, (3) 511

where expression $b \mapsto u$ allocates RB b to user u and $k_{u,b} = 1$. ⁵¹² It is important to mention that the allocation is performed RBby-RB until the entire set of RBs \mathcal{B} gets allocated. However, ⁵¹⁴ if for example $\mathbf{m}_{b'} = [0, 0, ..., 0]$, then RB $b' \in \mathcal{B}$ remains ⁵¹⁵ unoccupied. This resource can be allocated when the scheduling process is repeated for the next prioritized traffic class ⁵¹⁷ from the remained set of $\mathcal{P}[t] \setminus \{p^*\}$. By following this model, ⁵¹⁸ under certain network conditions it might happen that not all ⁵¹⁹ the users could get enough resources to meet their QoS objectives. The aim of the proposed scheduler is to increase as much ⁵²¹ as possible the QoS provisioning for UHD VR video users ⁵²² with insignificant QoS degradation of other services by properly selecting each time the traffic class to be prioritized and ⁵²⁴ the scheduling rule to be performed in the frequency domain. ⁵²⁵

C. Types of Scheduling Rules 526

A scheduling rule $r \in \mathcal{R}$ provides a unique utility function 527 $\Gamma_{r,p}(\mathbf{x}_{p,u})$ focused on a particular or a group of QoS objectives. 528 User fairness is one of the most popular objectives which can 529 be addressed when employing the following function [31]: 530

$$\Gamma_{1,p}(\bar{T}_{p,u}) = 1/\bar{T}_{p,u}$$
 (4) 531

where $\overline{T}_{p,u}$ is the average throughput of user $u \in U_p$ calculated based on the exponential moving filter and the scheduling rule r = 1 is Proportional Fair (PF). According to (2), (3) and (4), 534 user $u \in U_p$ with the highest ratio between achievable rate and 535 average throughput on RB $b \in \mathcal{B}$ is selected, while keeping a 536 certain fairness with the previously served users. 537

Guaranteeing the Bit Rates (GBR) is another QoS objective 538 that can be addressed when selecting the function [32]: 539

$$\Gamma_{2,p}(\bar{\bar{T}}_{p,u}) = [1 + w_1 \cdot e^{-w_2 \cdot (\bar{\bar{T}}_{p,u} - T_{p,u}^R)}] \cdot \Gamma_{1,p}(\bar{T}_{p,u}).$$
(5) 540

where $\bar{T}_{p,u}$ is the average user throughput calculated with the median moving filter and r = 2 is the Barrier Function (BF) 542 scheduling rule. Users with lower average rates than that of the corresponding requirements $T^{R}_{p,u}$ are preferred to be scheduled on each RB. 545

Delay objective aims at respecting the Head-of-Line (HoL) 546 packet delay of each user at each TTI. One possible solution 547

⁵⁴⁸ to achieve this target is to employ the following function [33]:

549
$$\Gamma_{3,p}(D_{p,u}) = e^{w_3 \cdot D_{p,u}/D_{p,u}^{\kappa}} \cdot \Gamma_{1,p}(\bar{T}_{p,u}),$$
 (6)

⁵⁵⁰ where $D_{p,u}$ is the HOL delay of user $u \in U_p$ at TTI t, $D_{p,u}^R$ ⁵⁵¹ is the corresponding requirement and r = 3 is entitled the ⁵⁵² EXPonential (EXP) rule. Users with packets approaching to ⁵⁵³ their deadline receive a much higher priority to be scheduled ⁵⁵⁴ given the exponential function.

The Packet Loss Rate (PLR) of each user can be improved when the scheduler employs the following utility function [34]:

557
$$\Gamma_{4,p}(L_{p,u}) = w_4 \cdot L_{p,u} / L_{p,u}^R \cdot \Gamma_{1,p}(\bar{T}_{p,u}),$$
 (7)

⁵⁵⁸ where $L_{p,u}$ is the PLR value at TTI *t* of user $u \in U_p$, $L_{p,u}^R$ is the ⁵⁵⁹ corresponding PLR requirement and r = 4 is the Opportunistic ⁵⁶⁰ Packet Loss Fair (OPLF) scheduling rule. When the through-⁵⁶¹ put, delay and PLR requirements are met by all users, BF, ⁵⁶² EXP and OPLF, respectively act similar to the PF scheduling ⁵⁶³ rule.

564 D. Controller and Packet Scheduler Interaction

In order to increase the fraction of scheduling time when 565 566 the heterogeneous QoS requirements are respected, we pro-567 pose the use of Reinforcement Learning (RL) [30] to learn ⁵⁶⁸ the most suitable traffic prioritization and scheduling rule that 569 can be applied in real time scheduling. RL makes use of 570 an agent (e.g., intelligent controller) that in time will learn take actions which will generate the maximum reward by 571 to 572 interacting with the environment (e.g., packet scheduler). As 573 seen from Fig. 2, at TTI t, the controller observes a state s_{74} s[t] $\in S$, representing the current network conditions, and 575 takes an action $\mathbf{a}[t] = [p, r] \in \mathcal{A}$ that prioritizes traffic class 576 $p \in \mathcal{P}$ in time domain and selects the scheduling rule $r \in \mathcal{R}$ 577 to be applied in the frequency domain. The scheduling proce-578 dure is conducted based on the selected action and the system 579 evolves to the next state $\mathbf{s}[t+1] = \mathbf{s}' \in S$ at TTI t+1. As illus-580 trated in Fig. 2, the reward value received from the scheduling 581 environment evaluates the performance of the applied action ⁵⁸² in the previous state. This function is calculated based on the set of KPIs $\mathbf{x}[t+1] = \mathbf{x}'$ received at TTI t+1. If we define ⁵⁸⁴ the reward function as $\rho: \mathcal{X} \to [-1, 1]$, where $\mathcal{X} \subset S$ is the 585 state space of KPI vectors, then the proposed function takes 586 the following form:

587
$$\rho(\mathbf{s}') = \sum_{p} \sum_{o} w_{p} \cdot \rho_{p,o}(\mathbf{x}'_{p}), \qquad (8)$$

⁵⁸⁸ where $\rho_{p,o}$ is the reward value of traffic class $p \in \mathcal{P}$ and ⁵⁸⁹ objective $o \in \mathcal{O}_p$, respectively. In (8), \mathbf{x}'_p is the KPI vector of ⁵⁹⁰ class $p \in \mathcal{P}$ at TTI *t*+1. This $\rho_{p,o}$ value denotes how far the ⁵⁹¹ online KPI parameters of traffic class $p \in \mathcal{P}$ are from their ⁵⁹² requirements in terms of objective $o \in \mathcal{O}_p$. The weight w_p ⁵⁹³ sets the 3GPP priority for each class as denoted by the static ⁵⁹⁴ prioritisation set \mathcal{P} . The controller must explore a high num-⁵⁹⁵ ber of state-to-state transitions to optimize the prioritization ⁵⁹⁶ decisions.

597 E. RL-Based Scheduling Framework

⁵⁹⁸ Since the scheduler state space is multi-dimensional and ⁵⁹⁹ continuous, the scheduling problems cannot be enumerated



Fig. 3. CACLA-based RL controller architecture.

exhaustively. We can only approximate the best traffic class ⁶⁰⁰ to be prioritized and the scheduling rule to be performed in ⁶⁰¹ improved. To reduce the complexity for the learning framework, Neural Network (NN) is used to approximate the best ⁶⁰³ prioritization decisions at each current state. During the learning stage, the NN weights are updated at each TTI based on ⁶⁰⁶ the scheduler and controller interaction as shown in Fig. 2. In ⁶⁰⁷ the exploitation stage, these weights are saved and the neural network is implemented as a non-linear function. ⁶⁰⁹

We propose the implementation of RL framework with a 610 minimum complexity. In this sense, let M be the number of 611 NN output pins in which, the first M/2 pins can be used to 612 determine the index of the traffic class to be prioritized and 613 the rest of output pins to decide the scheduling rule to be 614 applied in the frequency domain. To train this non-linear func- 615 tion with multi-dimensional input and output variables, we use 616 Continuous Actor-Critic Learning Automata (CACLA) algo- 617 rithm [35]. As seen from Fig. 3, CACLA considers two neural 618 networks: a) the critic neural network that approximates the 619 state value function and criticizes the action taken on each 620 state; b) actor neural network that approximates the best pri- 621 oritization set $\mathcal{P}_{p}[t]$ and scheduling rule $r \in \mathcal{R}$ to be applied 622 on each state. The role of the critic function is to examine the 623 actor activity and improve its decisions over time. 624

As an internal structure, a neural network is composed by 625 L number of layers, including here the hidden and output layers only. Therefore, we define the number of hidden layers as 627 $L_H = L - 1$. Each layer $l \in \{1, 2, ..., L + 1\}$ is composed by 628 neurons or nodes and interconnection matrices that represent 629 the weights connecting the nodes within two consecutive layers, for example l and l + 1. If N_l and N_{l+1} are the number 631 of nodes (not including the bias nodes) of layers l and l + 1, 632 respectively, then the total number of weights to be updated 633 at each TTI is $\sum_{l=1}^{L} (N_l + 1) \cdot N_{l+1}$. As indicated in Fig. 3, 634 when CACLA algorithm is employed, two sets of weights need 635 to be updated since both actor and critic neural networks are 636 involved during the learning stage. 637

674

The functional structure of critic NN is taking the form of form of functional structure of critic NN is taking the form of form of here non-linear function defined as: $V : S \rightarrow [-1, 1]$. The form actor NN takes the same form with the amendment that the form output value is multi-dimensional and the definition domain is formed at each TTI: a) the learning stage, two steps are performed at each TTI: a) the updating step in which the weights formed at each TTI: a) the updating step in which the weights formed at each TTI: a) the updating step in which the weights formed at each TTI: a) the updating step in which the weights formed at each TTI: a) the updating step in which the weights formed at each the policy of how the controller action step, that deterform the policy of how the controller action is selected at each form formed is used to provide the *M* dimensional decision under the form form of the controller action $\mathbf{a}[t + 1] = [p, r]$ that can be decoded for into traffic class prioritization and scheduling rule selection.

The updating process based on CACLA algorithm aims to refine the weights of both networks iteratively, on each state. For example, when the current state is $\mathbf{s}' \in S$, the error between the impact of applied action $\mathbf{a}[t] \in A$ in the previous state $\mathbf{s}[t] \in S$ and its expectation must be reinforced through the neural networks. Since CACLA makes use of two neural networks, then two types of errors must be reinforced.

Critic Error: At the beginning of the learning stage, the 658 weights of the critic NN are randomly chosen. Thus, these 659 660 weights are gradually updated based on the quality of the applied actions in every state. As seen in Fig. 3, the adapta-661 662 tion of the critic NN weights comprises two steps: a) forward 663 propagation responsible to get the consecutive critic values $\{V(\mathbf{s}), V(\mathbf{s}')\} \in [-1, 1]$ in order to quantify the impact of action $\mathbf{a} \in \mathcal{A}$ in state $\mathbf{s} \in \mathcal{S}$; and b) back-propagation 666 step that calculates the critic error and propagates it through 667 the critic NN based on the gradient descent principle [35]. 668 Without going into details, the gradient descent calculates 669 the error for each neuron of each layer $l \in \{2, \ldots, L+1\}$ 670 and updates the weights accordingly. The critic error function $_{671} E_c : \mathcal{S} \times \mathcal{S} \rightarrow [-1, 1]$ is defined (9), where $\{V^T(\mathbf{s}), V(\mathbf{s})\}$ are $_{672}$ determined by propagating the states (s, s') through the critic 673 NN from input to the output layers:

$$E_c(\mathbf{s}', \mathbf{s}) = V^T(\mathbf{s}) - V(\mathbf{s}).$$
⁽⁹⁾

⁶⁷⁵ Here, the target value is determined as $V^{T}(\mathbf{s}) = \rho + \gamma \cdot V(\mathbf{s}')$, ⁶⁷⁶ where $\gamma \in [0, 1]$ is a discount factor and ρ is the reward value ⁶⁷⁷ calculated with (8).

Actor Error: If the critic error is positive $E_c(\mathbf{s}', \mathbf{s}) \ge 0$, then the previous action was a good choice and the actor NN can be updated as well. If $E_c(\mathbf{s}', \mathbf{s}) < 0$, then the previous action was an unfortunate choice and then, the actor NN must be discouraged in taking such decision in the future. Consequently, the actor NN is not updated. When $E_c(\mathbf{s}', \mathbf{s}) \ge 0$, the actor NN is updated by following the same forward and backward propagation principles. The multi-dimensional actor error is determined based on the function $E_a : S \rightarrow [-1, 1]^M$:

$$E_a(\mathbf{s}) = A^T(\mathbf{s}) - A(\mathbf{s}), \tag{10}$$

where A^T is the target multi-dimensional action value determined based on some probability distributions. At the beginming of the learning stage, it is not recommended to exploit the actor NN decisions and then, a random multi-dimensional value of $A^T(\mathbf{s})$ different from $A(\mathbf{s})$ is preferred in order to enlarge the exploration of the scheduler state space. This is 706

denoted as the *improvement* step. Once the learning process ⁶⁹⁴ is approaching to its deadline, we aim to exploit more the ⁶⁹⁵ actor decisions and then, the multi-dimensional target $A^T(\mathbf{s})$ ⁶⁹⁶ is equal to $A(\mathbf{s})$. This is denoted as the *exploitation* step. For ⁶⁹⁷ an optimal learning, it is preferred to mix improvement and ⁶⁹⁸ exploitation steps with certain probabilities. Certainly, more ⁶⁹⁹ improvements steps are preferred at the beginning of the learning stage, whereas the end of the learning stage is likely to ⁷⁰¹ use more exploitation steps. In this way, we monitor if the ⁷⁰² mean actor error can converge or not to certain error levels. ⁷⁰³ Once the neural network(s) is(are) updated, the RL controller ⁷⁰⁴ decides the new action $\mathbf{a}' \in \mathcal{A}$ to be applied in state $\mathbf{s}' \in \mathcal{S}$. ⁷⁰⁵

V. SYSTEM EVALUATION

The proposed adaptation framework was implemented in 707 the RRM Scheduler Simulator [31], which is a C/C++ object 708 oriented tool that inherits the LTE-Sim simulator [36]. For 709 the performance evaluation, an infrastructure of 7 Intel 4-Core 710 machines with i7-2600 CPU at 3.40GHz, 64 bits, 8GB RAM 711 and 120 GB HDD Western Digital storage was used. Each 712 traffic type is generated by using the models provided by LTE-Sim simulator adapted to generate UHD VR-based video large 714 data packets. 715

The wireless channel is simulated by using the Jakes fast 716 fading model, that is considered deterministic, similar to 717 Rayleigh fading as it makes use of sinusoidal summing [31]. 718 Jakes fading considers the central frequency of 2GHz, the 719 system bandwidth in order to determine the periods of sinu- 720 soids, and the user speed to determine the pulsation and the 721 number of paths for the initial phase calculation. In our case, 722 the user speed is 3kmph with random direction in both learn- 723 ing and exploitation stages. Then, a number of 6 to 12 paths 724 are randomly generated at each TTI as implemented in [36]. 725 The channel propagation considers the loss given by: path, 726 shadowing and penetration. We consider the urban microcell 727 model for the path loss calculation, the shadowing loss is mod-728 elled as a log-normal distribution ($\mu = 0, \sigma = 8$ dB) in the 729 range of [0, 20] dB, and the penetration loss is fixed to 10dB 730 as it considers only the wall attenuation. 731

At each TTI, the user CQI is reported by following five 732 steps. In the first step, the reference signal is broadcasted at 733 each TTI by the base station over the entire system band-734 width. In the second step, each user calculates the power of the 735 received reference signal that is attenuated by fading and prop-736 agation loss models. In the third step, each user measures the 737 channel gain or the Signal-to-Interference/Noise Ratio (SINR) 738 for each RB based on the received power and interference val-739 ues. In our model, the intra-cell interference is negligible while 740 the inter-cell interference considers a cluster of 7 cells for each 741 component carrier. The ML-based solution and other sched-742 ulers run only on the central cell of each cluster, while other 743 cells provide the inter-cell interference levels. In the fourth 744 step, the CQI value for each RB is determined based on map-745 ping curves between SINR and BLock Error Rate (BLER), 746 where the target BLER is 10% [31]. Finally, the fifth step 747 involves the transmission of each user CQI to the base station 748 via a separate uplink channel which is errorless in our case. 749

We consider downlink transmission with carrier aggrega-750 ⁷⁵¹ tion with a bandwidth of 100 MHz (B = 500), a micro cell 752 radius of 200m and the FDD transmission mode. The CQI 753 reporting scheme is full-band and periodically sent at each TI to each user. The packet scheduler works on the carrier Т 754 755 component basis and makes use of separate entities for RLC 756 functionalities, retransmission schemes and modulation/coding 757 assignments. Each RLC entity works in acknowledged mode and considers a maximum number of 5 retransmissions for 758 759 each data packet. Packets failing to get successfully transmit-760 ted within this period are declared lost. The user PLRs and rates are summed per each carrier component at each TTI. 761

Four traffic classes with different QoS profiles are consid-762 763 ered for scheduling, such as: 20% UHD VR-based live video read streaming (p = 1), 60% live conventional video (p = 2), 15% voice (p = 3) and 5% file transfer (p = 4) [1]. UHD VR-based 765 video traffic is generated with a rate higher than 20Mbps, 766 where the packet delay requirement is 10ms and the packet 767 $_{768}$ loss rate less than 10^{-3} . The conversational video traffic has a variable data rate with a mean of 1Mbps and more relaxed QoS 769 profile. In the frequency domain, a mixture of scheduling rules 770 is considered, such as PF, BF ($w_1 = 1.25, w_2 = 1.31 \cdot 10^{-5}$), 772 EXP ($w_3 = 6$) and OPLF ($w_4 = 10$) functions as detailed in 773 Section IV-C.

774 A. Learning Stage

In the learning stage, the number of users for each traf-775 776 fic class is randomly chosen in the given ratio at predefined 777 time slots in order to increase the possibility of the actor-critic 778 neural networks to experience as many as possible variants 779 of instantaneous states from different space regions. Under 780 these circumstances, the optimal configuration of both actor 781 and critic NNs must be found in terms of the number of hidden ⁷⁸² layers L_H and hidden nodes N_l , $l = \{2, \ldots, L\}$. With a lower 783 number of hidden layers and nodes, the actor NN may under-784 fit the input data in the sense that some regions of the state 785 space are not very well represented by the learnt non-linear 786 function. On the other hand, a higher number of hidden layers 787 and nodes may determine the neural networks to overfit the training data, in the sense that, the framework will also learn 788 789 the noisy data. In both cases, the critic error starts to increase at certain moment of time in the learning stage. In order to find 790 а the best options for the number of hidden layers and nodes, 791 we simulated the learning stage in parallel for about 10^7 TTIs 792 (with the same networking conditions) for each of the fol-793 ⁷⁹⁴ lowing group of configurations: $(N_l = 150; L_H = \{1, 3, 5\}),$ 795 $(N_l = 200; L_H = \{1, 3, 5\}), (N_l = 250; L_H = \{1, 3, 5\}$ and ⁷⁹⁶ $(N_l = 300; L_H = \{1, 3, 5\})$. Table II presents the numerical 797 results of these configurations in terms of the critic error and 798 system complexity.

By monitoring the minimum error of a neural network over the learning stage, the over-fitting can be detected when increasing the number of hidden layers and nodes. For example, if the error decreases as the NN topology increases, then system can learn better with the higher configuration. On the other side, if the minimum error increases as the NN topolsof ogy size increases, then the over-fitting can appear and the

TABLE II Learning Performance of Different Configurations of Neural Networks

| No. Hidden | Minimum | Normalized | Normalized |
|---------------|---|--|--|
| Layers | Critic Error | Complexity | Complexity |
| (\dot{L}_H) | (E_C) | Forward Prop. | Backward Prop. |
| 1 | 0.0116691 | 0.06 | 0.64 |
| 3 | 0.0114227 | 0.21 | 0.88 |
| 5 | 0.0120037 | 0.39 | 1.2 |
| 1 | 0.0119183 | 0.07 | 0.65 |
| 3 | 0.0122024 | 0.35 | 1.11 |
| 5 | 0.0121528 | 0.67 | 1.67 |
| 1 | 0.0121407 | 0.08 | 0.68 |
| 3 | 0.0125644 | 0.53 | 1.45 |
| 5 | 0.0122383 | 0.98 | 2.31 |
| 1 | 0.00969642 | 0.09 | 0.69 |
| 3 | 0.0106559 | 0.73 | 1.8 |
| 5 | 0.0107797 | 1.37 | 3.06 |
| | No. Hidden Layers (L_H) 1 3 5 1 3 5 1 3 5 1 3 5 1 3 5 5 1 3 5 5 | $\begin{array}{llllllllllllllllllllllllllllllllllll$ | $\begin{array}{llllllllllllllllllllllllllllllllllll$ |

system can learn better with the lower configuration. As seen 806 in Table II for $N_l = 150$ hidden nodes, the minimum critic 807 error gets lower as the critic NN configuration increases from 808 $L_H = 1$ to $L_H = 3$ and gets higher when increasing the number 809 of layers from $L_H = 3$ to $L_H = 5$. For the first set of results 810 $(N_l = 150; L_H = \{1, 3, 5\})$ obtained with the same networking ⁸¹¹ conditions, it can be concluded that above 450 hidden nodes 812 $({L_H = 3; N_l = 150})$, the risk of over-fitting becomes higher. 813 For other three sets of results $(N_l = \{200, 250, 300\})$, it can 814 be observed that the critic error increases as the number of 815 hidden layers increases from $L_H = 1$ to $L_H = 5$. Although 816 these four sets of simulations are not obtained with the same 817 networking conditions, it can be concluded that the critic NN 818 configurations with $(L_H = 1, N_l = \{150, 200, 250, 300\})$ and 819 $(L_H = 3, N_I = 150)$ can be used for the proposed ML-based 820 scheduling solution. The same observations are respected for 821 the actor NN, with the amendment that the over-fitting appears 822 much later since the weights are not updated at each TTI due 823 to the critic decision. For a higher topology, the over-fitting 824 can cause poor QoS provisioning for UHD VR users as well 825 as over-provisioning of other traffic classes.

Alongside the performance of the critic error, Table II 827 presents the complexity analysis for the forward and back- 828 ward propagation of both actor and critic NNs. The backward 829 propagation includes here the error propagation from output to 830 the input layers and the refinement of NN weights. We mea- 831 sure the normalized complexity as a ratio between the sum 832 of additional time (in seconds) needed to back-propagate the 833 errors through critic and actor NNs at each TTI averaged over 834 the total learning time (in seconds). Note that the backward 835 propagation complexity of actor NN is measured only when 836 the critic error is $E_c \ge 0$. The normalized complexity for the ⁸³⁷ forward propagation procedure of both actor and critic NNs 838 is determined in a similar way by averaging over the learning 839 stage the accumulated time needed to forward the states from 840 input to the output layers at each TTI. As seen in Table II, the 841 normalized complexity of both monitored processes increases 842 as the NN topology includes higher number of hidden lav- 843 ers and nodes. When considering the complexity analysis for 844 the most indicated NN configurations from the perspective of 845 over-fitting, we observe that a topology of $(L_H = 3, N_l = 150)$ 846 requires 3.5 times more computational time to forward propa- 847 gate the states through the actor and critic NNs when compared 848



Fig. 4. (a) QoS provisioning (GBR, delay and PLR) for UHD VR-based live video streaming; (b) 5^{th} Percentile throughput performance for UHD VR-based live video streaming; (c) 5^{th} Percentile PSNR performance for UHD VR-based live video streaming; (d) Heterogeneous QoS provisioning (GBR, delay and PLR) for all traffic classes; (e) 95^{th} Percentile PLR performance per traffic type when the range of heterogeneous users is [10, 30]; (f) 95^{th} Percentile PLR performance per traffic type when the range of heterogeneous users is [10, 30]; (f) 95^{th} Percentile PLR performance per traffic type when the range of heterogeneous users is [31, 50].

⁸⁴⁹ to the case of $(L_H = 1, N_l = 150)$. For the backward propas agation, the normalized complexity ($L_H = 3, N_l = 150$) is only 1.5 times greater than that of $(L_H = 1, N_l = 150)$ since 851 852 the actor NN is not updated at each TTI. However, we are interested in exploiting the performance of the configuration 853 that provides the lowest complexity ($L_H = 1, N_l = 150$). The 854 additional execution overhead required by this configuration 855 the scheduling process is about 70% in the learning stage 856 in (6% for the forward propagation and 64% for the backward 857 ⁸⁵⁸ propagation) for both actor and critic neural networks. In the 859 exploitation stage, the additional complexity is 3% since only 860 the actor NN is used.

861 B. Exploitation Stage

In the exploitation stage, the performance of the proposed 862 ML-based scheduling solution is analyzed when using the con-863 figuration of $L_H = 1$ and $N_l = 150$. The proposed CACLA 864 framework is compared with FLS [20], RADS [21] and SP 865 schemes. Among other scheduling approaches, RADS and 866 FLS schedulers are time efficient and target a multitude of 867 QoS objectives divided between time and frequency schedul-868 869 ing domains. The TP stage for FLS estimates the amount of 870 real-time data to be transmitted in the next frame based on 871 discrete-time linear control theory arguments. Then, the real-⁸⁷² time flows are prioritized based on the approximated quota of 873 data necessary to meet the delay constraints. The configuration details on this controlling loop can be found in [20]. The TP 874 875 stage of RADS scheme is conducted based on a function that 876 considers the fairness, delay and user rates in order to create an inter-class user prioritization at each TTI. The number of users 877 878 to be passed to the FP scheduler at each TTI must be a priori $_{879}$ configured. For our simulations, a maximum number of U/2880 users show the best performance when measuring the average ⁸⁸¹ scheduling time when the heterogeneous QoS requirements are respected. For SP scheme, TP domain considers a static prioritization between different classes at each TTI as presented in Section IV-A. In the frequency domain, FLS employs the PF scheduler to improve the fairness between users preselected in the TP stage, whereas RADS and SP make use of the OPLF scheduler to enhance the PLR performance.

In order to measure the performance of the proposed solution in real time scheduling, three types of evaluations are 889 considered: intra-class, aggregate and inter-class. For the intra-890 class evaluation (Figures 4.a, 4.b, 4.c), the aim is to measure 891 the performance when scheduling the UHD VR-based live 892 video traffic only. In this case, we evaluate the intra-class QoS 893 provisioning, throughput and PSNR depending on U_1 number 894 of UHD VR connections, where U_1 represents a ratio of 20% 895 from the total number of heterogeneous users $(U_1 = 1/5 \cdot U)$. 896 The aggregate evaluation (Fig. 4.d) aims to measure the overall 897 scheduling performance in terms of heterogeneous QoS pro- 898 visioning as a function of the total number of active users U. 899 The intra-class evaluation (Fig. 4.e and Fig. 4.f) presents the 900 over-provisioning effect by considering the PLR performance 901 of each scheduler per different traffic class. Finally, in Fig. 5 902 we analyze the execution overhead required by each scheduler 903 while varying the number of heterogeneous users. 904

Figure 4.a presents the normalized scheduling duration ⁹⁰⁵ when all QoS objectives (in terms of GBR, delay and PLR) ⁹⁰⁶ are respected for the UHD VR-based live streaming traffic ⁹⁰⁷ only. As expected, the SP scheme provides the highest possible performance as it gives the highest priority to the UHD ⁹⁰⁹ VR-based live streaming traffic at all times. For the entire user ⁹¹⁰ range, CACLA performs much better than FLS and RADS by ⁹¹¹ obtaining gains in excess of 100% when serving more than ⁹¹² six UHD VR-based live video connections. ⁹¹³

The Cumulative Distribution Function (CDF) of user 914 throughput is determined at the end of the exploitation stage 915 (for each configuration in terms of the number of users) based 916 917 on the throughput values collected from each user at each 918 TTI. Looking at the 5th percentile of user throughput from the 919 CDF curve (worst user throughput) for the UHD VR-based ⁹²⁰ live streaming traffic (Fig. 4.b), smooth degradation can be observed in the case of CACLA scheme compared to SP when 921 ⁹²² the number of UHD VR-based live streaming users goes above seven. When scheduling more than five users from the first 923 924 class, RADS and FLS aim to focus more on scheduling lower 925 priority users by degrading the user throughput of the first 926 prioritized traffic class. As seen in Fig. 4.b, when scheduling 927 eight UHD VR users, CACLA outperforms FLS and RADS by ⁹²⁸ more than 1Mbps and 2Mbps, respectively. For ten users, the ⁹²⁹ gain gets much higher at about 3Mbps and 5Mbps, respec-930 tively. This is because when the number of heterogeneous 931 users gets very high, CACLA aims at working similarly to ⁹³² the SP scheme by providing a much higher prioritization to ⁹³³ the UHD VR connections.

Figure 4.c presents the performance of the 5th percentile 934 935 PSNR in order to highlight the worst user PSNR performance when experiencing UHD VR content. This choice is motivated 936 ⁹³⁷ by the fact that PSNR is considered as one of the most popular ⁹³⁸ objective QoE indicators used to evaluate the user perceived 939 quality for video services [15]. Based on the evaluation provided in [37], an excellent Mean Opinion Score (MOS) can 940 be obtained when $PSNR_{dB} \ge 36$ while an acceptable MOS 941 considered when $29 \leq PSNR_{dB} < 36$. Thus, a very good 942 is 943 MOS performance for CACLA is obtained when scheduling ⁹⁴⁴ less than eight users while an acceptable level can be attained 945 for more than eight UHD VR users. When employing RADS ⁹⁴⁶ and FLS schedulers, the best MOS performance is obtained ⁹⁴⁷ for $U_1 \in [2, 5]$, an acceptable MOS value when $U_1 = 6$ and ⁹⁴⁸ poor and even bad MOS levels are obtained when $U_1 > 6$. When $U_1 > 9$, CACLA obtains gains higher than 50% when 949 950 compared to FLS and RADS in terms of the worst user PSNR. When all the traffic classes are considered, we present in 951 952 Fig. 4.d the performance when provisioning heterogeneous 953 QoS. We monitor the number of TTIs when all users meet their QoS requirements by using the priority policies given by 954 SP, RADS, FLS and CACLA. It can be noticed that SP is not 955 956 able to provide an acceptable QoS level when scheduling more 957 than 20 heterogeneous users. In this case, CACLA can achieve ⁹⁵⁸ up to 50% more time when the heterogeneous QoS objectives are achieved. When reporting to RADS and FLS, CACLA can 959 960 obtain gains higher than 100% for a range of scheduled users of $U \in [20, 40]$. When the number of users start to increase 961 $_{962}$ (U > 45), the achievement of QoS objectives gets close to the saturation. Consequently, CACLA aims to prioritize more the 963 UHD VR traffic class as showing in Figures 4.b and 4.c. 964

For each traffic class, we monitor PLR values of each user at each TTI. At the end of each exploitation simulation, we compute the CDF curves for each of these classes in order to get the worst user percentiles of PLR. When compared to user throughput and PSNR, the worst PLR percentiles are found at ro the upper limit of the CDF curve. Figure 4.e analyses the interclass performance when averaging the 95th PLR percentiles for each traffic class over the range of $U \in [10, 30]$. When employing CACLA-based scheduling solution, up to 30 UHD VR connections can be supported (the PLR requirements are



Fig. 5. System complexity of involved schedulers.

met) in the network while providing the requested PLR levels $_{975}$ of other services. For this range, SP is over-provisioning the $_{976}$ UHD VR traffic class being unable to assure the requested $_{977}$ PLR for other traffic classes. RADS and FLS are unable to $_{978}$ respect the PLR requirement of UHD VR traffic class (10^{-3}) $_{979}$ when the worst user PLR is monitored. $_{980}$

As stated previously, the RADS and FLS prioritization 981 schemes are unable to react to the changeable networking 982 conditions in terms of the number of active users U, variable 983 arrival bit rates when generating the traffic, and wireless chan- 984 nel conditions. Thus, some traffic classes are over-provisioned 985 while others may have degraded QoS performance. Figure 4.f 986 demonstrates the aforementioned statement. The inter-class 987 performance when averaging the 95th PLR percentile for each 988 traffic class over the range of $U \in [31, 50]$ is analyzed. This 989 is achieved in order to monitor the behavior of each scheme 990 when the heterogeneous QoS provisioning is getting closer to 991 the saturation level due to the increase in number of users. 992 As seen from this figure, FLS is over-provisioning the video 993 and VoIP classes while degrading the QoS performance of 994 the UHD VR-based live streaming traffic. As expected, the 995 SP scheme prioritizes UHD VR users while drastically penalizing the rest of the traffic classes. CACLA prioritizes more 997 the UHD VR-based live streaming class when the number of 998 users is increasing, while it aims to give enhanced inter-class 999 fairness when the number of users is lower and the QoS pro- 1000 visioning can be attained for each class as shown in Fig. 4.e. 1001 This is possible due to the adaptation capability of this policy 1002 when the number of users increases/decreases. The impact of 1003 the scheduling rule adaptability based on channel conditions 1004 and application characteristics is highlighted in Fig. 4.e, where 1005 CACLA is able to obtain better PLR performance than FLS 1006 and RADS while the PLR requirements for other classes are 1007 respected by all these candidates. The RADS scheme shows a 1008 notable limitation in Fig. 4.f due to the prioritization scheme 1009 used in time domain. A certain level of inter-class fairness 1010 can be observed but at lower PLR levels when compared to 1011 CACLA, even if the PLR minimization is considered in the 1012 frequency domain since the OPLF scheduler is employed. 1013

Figure 5 represents the complexity analysis of the previously 1014 analyzed scheduling schemes. The complexity analysis mea- 1015 sures the number of clock ticks elapsed for the TP and FP 1016 stages divided to the total number of clocks within one second 1017 and averaged over the exploitation stage duration (in seconds). 1018 1019 Below twenty aggregate users, FLS and RADS are less time 1020 consuming since the frequency domain scheduling is per-1021 formed for a less number of users than that of SP and CACLA 1022 schemes. Since the networking conditions permit, CACLA and SP perform the FP stage for all four traffic classes. However, a 1023 1024 slight complexity increase is required by the traffic class selec-1025 tion procedure when performing CACLA scheduling. Above 1026 this level of 20 aggregate users, SP solution gets the lowest 1027 complexity since only the first prioritized class (live UHD VR 1028 video users) is sent to the FP domain (see correlation with 1029 Fig. 4.a and Fig. 4.d.). Starting from the level of 30 heteroge-1030 neous users, RADS becomes a better option than FLS since ¹⁰³¹ the TP stage pre-selects a lower number of users to be sent in 1032 the frequency domain. At this point, RADS and FLS provide a 1033 complexity gain of 11.1% when compared to CACLA. As seen 1034 from Fig. 4.d, in the range of [30, 40] users, CACLA obtains 1035 gains in excess of 100% in terms of heterogeneous QoS pro-1036 visioning when compared to FLS and RADS. However, this ¹⁰³⁷ performance comes at the expense of the complexity increase 1038 as depicted in Fig. 5. Since the FP stage is performed for all 1039 traffic classes at almost each TTI, CACLA needs additional 1040 time resources in proportion of 20% to complete its tasks when compared to FLS, while the extra complexity require-1041 1042 ment exceeds 30% when compared to RADS. Above this level, 1043 the complexity required by CACLA starts to stabilize or even 1044 to decrease since it behaves more like a SP scheme, while the 1045 FLS complexity becomes higher.

1046 C. Practical Implications

According to our findings, some aspects must be considered 1047 1048 when employing a RL-based scheduling solution for traffic pri-1049 oritization, user scheduling and resource allocation in practice, 1050 such as: the training data set, the state space pre-processing, the controller configuration and termination condition for the 1051 1052 learning stage. In order to get a generalised training data set, 1053 the training samples must consider variable number of users 1054 and changed at certain time intervals for each traffic class. Moreover, different speed levels and direction models should 1055 1056 be considered for mobile users in order to explore a high variety of channel conditions. Under its original form, the 1057 1058 training data-set is multidimensional and variable, depend-1059 ing on the number of active users that may change over 1060 time. Therefore, some pre-processing methods are necessary to 1061 compress the dimension of input state to some constant repre-1062 sentations. Statistical methods can be used to get the mean and 1063 standard deviation values for the QoS indicators (i.e., packet 1064 loss, delay, throughput, etc.) for each traffic class [18]. Also, 1065 supervised learning can be used to classify the CQI reports 1066 in given patterns for users of each traffic class [31]. The 1067 optimal configuration of RL controller depends on the num-1068 ber of traffic classes and scheduling rules. When the number 1069 of traffic classes increases, higher number of hidden lavers 1070 and nodes can be required with respect to some complexity 1071 constraints. Additionally, the output layer for the actor neural 1072 network must be properly managed and decoded in traffic class 1073 and scheduling rule selection as the size of the action space 1074 increases. During learning, both critic and actor errors must be monitored. In case of over-fitting (error increases above given 1075 threshold), the weights should be saved and learning process 1076 stopped. Otherwise, learning can continue for a number of 1077 iterations (TTIs) a priori established.

VI. CONCLUSION 1079

This paper proposes an intelligent Machine Learning- 1080 based scheduling solution which makes use of Reinforcement 1081 Learning by employing CACLA, to react to the changeable 1082 networking conditions and take the best decisions in order to 1083 improve the fraction of time (in TTIs) when the QoS require- 1084 ments are met for diverse services. Thus, the algorithm decides 1085 at each TTI the traffic class prioritization and the type of 1086 scheduling rule to be employed. Different traffic classes are 1087 dynamically prioritized such that the over-provisioning effect 1088 for some applications is avoided, whereas radio resources are 1089 intelligently managed by choosing the best scheduling rule for 1090 user scheduling and resource allocation. The proposed solu- 1091 tion is deployed in a very challenging dynamic environment 1092 in which UAV performs UHD VR-based live video streaming 1093 to ground users. The proposed solution was evaluated through 1094 simulations and compared against other three state-of-the-art 1095 scheduling algorithms, such as: SP, RADS and FLS. The sim- 1096 ulation results indicate that the proposed CACLA-based RL 1097 scheduling solution outperforms the other schemes involved 1098 while considering four perspectives: a) CACLA outperforms 1099 RADS and FLS in terms of packet loss, delay, throughput 1100 and PSNR when considering UHD VR-based users only; 1101 b) when considering a mixture of users requesting heteroge- 1102 neous services, CACLA shows gains in excess of 100% by 1103 measuring the fraction of TTIs when the heterogeneous QoS 1104 requirements are respected; c) by measuring the inter-class 1105 packet loss, CACLA can accommodate a higher number of 1106 UHD VR users in the network, while SP and FLS prioritization 1107 schemes are over-provisioning some traffic classes; d) CACLA 1108 provides the best performance vs. complexity tradeoff. 1109

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